

Partition-Symmetrical Entropy Functions

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Entropy region Γ_n^*

Entropy function

▶ $\mathcal{N} = \{1, 2, \dots, n\}$

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 $\mathbf{h}(\mathcal{A}) \triangleq H(X_{\mathcal{A}}), \forall \mathcal{A} \subset \mathcal{N}$ with $H(X_{\emptyset}) = 0$.
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 - ▶ $\mathbf{h} =$
 $(0, H(X_1), \dots, H(X_4), H(X_{12}),$
 $\dots, H(X_{34}), \dots, H(X_{1234}))$

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- ▶ $\mathcal{H}_4 \triangleq \mathbb{R}^{2^{\{1,2,3,4\}}}$

Entropy region Γ_n^*

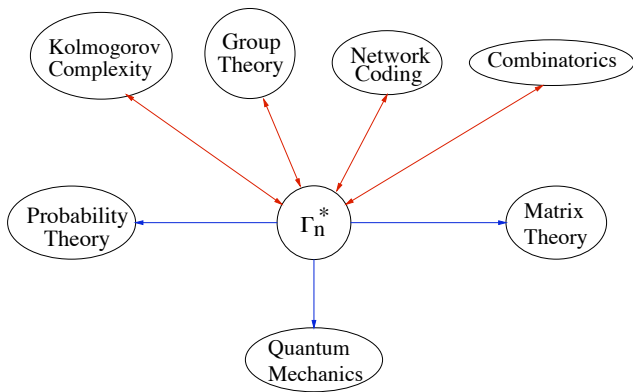
Entropy function

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Entropy region: Γ_n^*

$$\Gamma_n^* \triangleq \{\mathbf{h} \in \mathcal{H}_n \mid \exists \mathbf{X}_{\mathcal{N}}, \mathbf{h} \text{ is the entropy function of } \mathbf{X}_{\mathcal{N}}\}.$$

Subjects Related to Γ_n^*



Γ_n^* and Γ_n

Shannon-type inequalities

For any $\mathcal{A}, \mathcal{B} \subset \mathcal{N}$,

$$H(X_{\mathcal{A}}) \geq 0,$$

$$H(X_{\mathcal{A}}) \leq H(X_{\mathcal{B}}) \text{ if } \mathcal{A} \subset \mathcal{B},$$

$$H(X_{\mathcal{A}}) + H(X_{\mathcal{B}}) \geq H(X_{\mathcal{A} \cap \mathcal{B}}) + H(X_{\mathcal{A} \cup \mathcal{B}}).$$

Γ_n^* and Γ_n

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Polymatroidal region: Γ_n

$$\Gamma_n \triangleq \{\mathbf{h} \in \mathcal{H}_n : \mathbf{h}(\mathcal{A}) \geq 0,$$

$$\mathbf{h}(\mathcal{A}) \leq \mathbf{h}(\mathcal{B}), \quad \text{if } \mathcal{A} \subset \mathcal{B},$$

$$\mathbf{h}(\mathcal{A}) + \mathbf{h}(\mathcal{B}) \geq \mathbf{h}(\mathcal{A} \cap \mathcal{B}) + \mathbf{h}(\mathcal{A} \cup \mathcal{B})\}.$$

$\mathbf{h}(\{2\})$

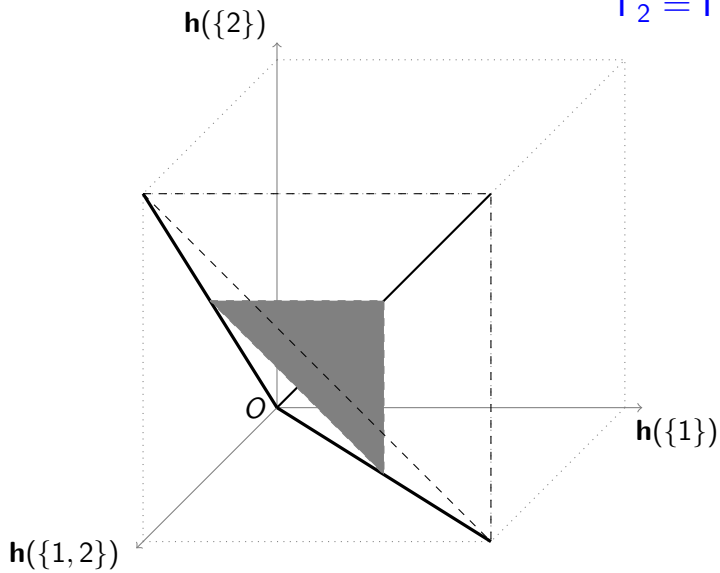
$$\mathcal{H}_2^0 = \{\mathbf{h} \in \mathcal{H}_2 : \mathbf{h}(\emptyset) = 0\}$$

O

$\mathbf{h}(\{1\})$

$\mathbf{h}(\{1, 2\})$

$$\Gamma_2 = \Gamma_2^*$$



Γ_n^* and Γ_n

Relations between Γ_n^* and Γ_n

- ▶ $\Gamma_n^* \subset \Gamma_n$, [Fujishige 78]
- ▶ $\Gamma_2^* = \Gamma_2$,
- ▶ $\Gamma_3^* \subsetneq \Gamma_3$, but $\overline{\Gamma_3^*} = \Gamma_3$, [Zhang and Yeung 97, Matúš 06, Chen and Yeung 12]
- ▶ $\overline{\Gamma_n^*} = \Gamma_n$, $n \leq 3$,
- ▶ $\overline{\Gamma_n^*} \subsetneq \Gamma_n$, $n \geq 4$, due to the existence of **non-Shannon-type** information inequalities. [Zhang and Yeung 98]

Γ_n^* and Γ_n

non-Shannon-type inequalities

- ▶ Z. Zhang and R. W. Yeung, On characterization of entropy function via information inequalities, IEEE Trans. Inform. Theory, vol. 44, pp. 1440-1452, Nov. 1998.

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- ▶ X. Yan, R. W. Yeung and Z. Zhang, A class of non-Shannon type information inequalities and their applications, IEEE Int. Symp. Inf. Theory, Washington DC, June 2001.
- ▶ R. Dougherty, C. Freiling and K. Zeger, Six new non-Shannon information inequalities, IEEE Int. Symp. Inf. Theory, Seattle WA June 2006.
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- ▶ ...
- ▶ F. Matúš, Infinitely many information inequalities, IEEE Int. Symp. Inf. Theory, Nice, France, June 2007.

Partition-symmetrical entropy functions and their applications to secret-sharing¹

¹Q. Chen and R. W. Yeung, "Partition-Symmetrical Entropy functions," to appear in *IEEE Transactions on Information Theory*.

Permutation groups and partition groups

Permutation group

- ▶ **Permutation σ** : A bijection from $\mathcal{N} = \{1, \dots, n\}$ to \mathcal{N} itself
- ▶ **Symmetric group S_n** : The set of all permutations with composition being the binary operation
- ▶ **Permutation group Σ** : Any subgroup of the symmetric group.

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Partition group

- ▶ **Partition p of \mathcal{N}** : A set of disjoint subset $\{\mathcal{N}_1, \dots, \mathcal{N}_t\}$ such that $\cup_{i=1}^t \mathcal{N}_i = \mathcal{N}$. Each \mathcal{N}_i is called a **block** of p .
- ▶ **Partition group Σ_p** : A permutation group whose members are all permutations that permute the members of \mathcal{N} within the same block of p , i.e.,

$$\Sigma_p = \{\sigma \in \Sigma_n : \sigma(j) \in \mathcal{N}_i, j \in \mathcal{N}_i, i = 1, \dots, t\}.$$

Group actions

Definition (Group action)

For a set \mathcal{S} , a group Σ *acts* on \mathcal{S} if there exist a function $\Sigma \times \mathcal{S} \rightarrow \mathcal{S}$, called an action, denoted by $(\sigma, s) \mapsto \sigma s$, such that

1. $(\sigma_1\sigma_2)s = \sigma_1(\sigma_2s)$ for all $\sigma_1, \sigma_2 \in \Sigma$ and $s \in \mathcal{S}$;
2. $1s = s$ for all $s \in \mathcal{S}$, where 1 is the identity of Σ .

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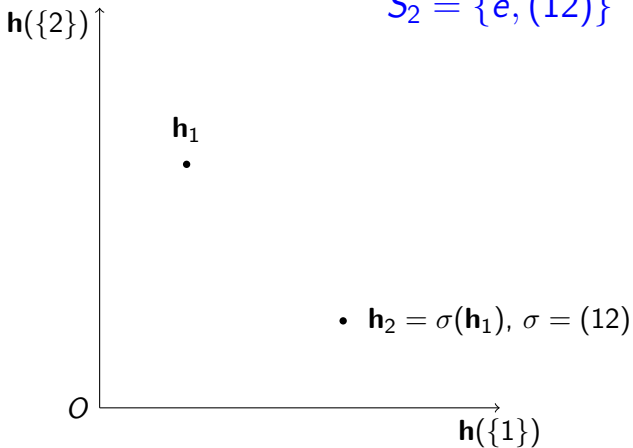
Group action $\Sigma \times \mathcal{H}_n \rightarrow \mathcal{H}_n$

For any $\sigma \in S_n$, define $\sigma : \mathcal{H}_n \rightarrow \mathcal{H}_n$ by

$$\sigma(\mathbf{h})(\mathcal{A}) = \mathbf{h}(\sigma(\mathcal{A})), \quad \mathcal{A} \subset \mathcal{N}.$$

- ▶ $\sigma \times \mathbf{h} \mapsto \sigma(\mathbf{h})$ defines a group action S_n on \mathcal{H}_n
- ▶ Restricted to a subgroup Σ , it becomes a group action Σ on \mathcal{H}_n .

$$S_2 = \{e, (12)\}$$



Fixed set

Definition

If a group Σ acts on \mathcal{S} , the *fixed set* of the action is defined by

$$\text{fix}_\Sigma = \{s \in \mathcal{S} : \sigma s = s, \forall \sigma \in \Sigma\}.$$

Fixed set

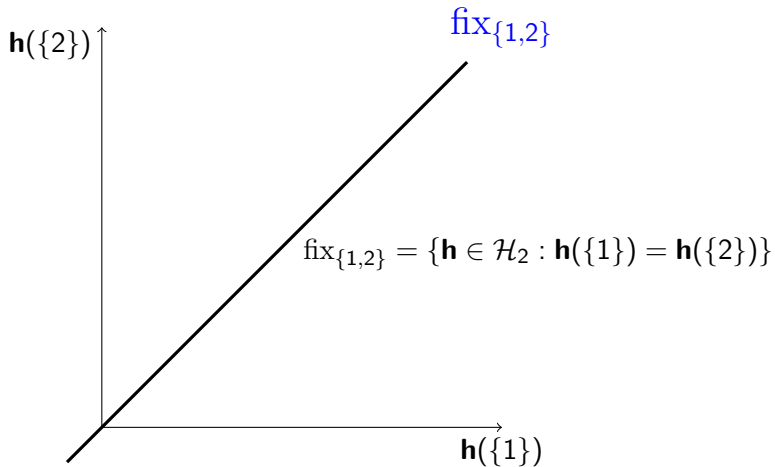
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Fix set of the partition group acting on \mathcal{H}_n

$$\text{fix}_{\rho} = \text{fix}_{\Sigma_{\rho}} = \{\mathbf{h} \in \mathcal{H}_n : \mathbf{h}(\mathcal{A}) = \mathbf{h}(\mathcal{B}) \\ \text{if } |\mathcal{A} \cap \mathcal{N}_i| = |\mathcal{B} \cap \mathcal{N}_i|, \forall i = 1, \dots, t\}.$$



Main theorem

Constraining Γ_n^* and Γ_n by fix_p , we obtain the *p-symmetrical entropy region*

$$\Psi_p^* = \Gamma_n^* \cap \text{fix}_p$$

and *p-symmetrical polymatroidal region*

$$\Psi_p = \Gamma_n \cap \text{fix}_p,$$

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Theorem

For $n \geq 4$ and any $p \in \mathcal{P}_n$,

$$\overline{\Psi_p^*} = \Psi_p,$$

if and only if $p = \{\mathcal{N}\}$ or $p = \{\{i\}, \mathcal{N} \setminus \{i\}\}$.

Application to secret-sharing

Consider the secret be a random variable S on K , and each share be a random variable S_j on K_j , where $j \in \mathcal{P}$, the set of participants. Then the scheme $\mathbf{S} = (S, S_j)_{j \in \mathcal{P}}$ is a secret-sharing scheme realizing access structure \mathcal{A} , where $\mathcal{A} \subset 2^{\mathcal{P}}$ and \mathcal{A} is monotone, if the following two conditions hold:

1. (**Correctness**) For any $B \in \mathcal{A}$,

$$H(S|S_B) = 0$$

2. (**Perfect Privacy**) For any $T \notin \mathcal{A}$,

$$H(S|S_T) = H(S)$$

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Information ratio

$$\rho_{\mathbf{S}} \triangleq \frac{\max_{1 \leq j \leq n} H(S_j)}{H(S)}$$

The fundamental problem of secret sharing: optimal information ratio

Let $\mathcal{N} = \{s\} \cup \mathcal{P}$ and $\Gamma_{\mathcal{N}}^*$ be the entropy region on \mathcal{N} . Let \mathcal{A} be an access structure on \mathcal{P} . Then the optimal information ratio on \mathcal{A} is

$$\rho_{\mathcal{A}} \triangleq \inf_{\mathbf{h} \in \Gamma_{\mathcal{N}}^* \cap \Phi_{\mathcal{A}}} \frac{\max_{1 \leq j \leq n} \mathbf{h}(\{p_j\})}{\mathbf{h}(\{s\})}$$

where

$$\begin{aligned} \Phi_{\mathcal{A}} = \{ \mathbf{h} : \mathbf{h}(\{s\} \cup B) &= \mathbf{h}(B) \quad \forall B \in \mathcal{A}, \\ \mathbf{h}(\{s\} \cup T) &= \mathbf{h}(\{s\}) + \mathbf{h}(T) \quad \forall T \notin \mathcal{A} \} \end{aligned}$$

Shamir's threshold scheme by entropy functions

For $1 \leq t \leq n$, let $\mathcal{A}_{t,n} = \{A \subset \mathcal{P} : |A| \geq t\}$. Then $\mathcal{A}_{t,n}$ is a access structure with threshold t .

For simplicity, let $\rho_{t,n} = \rho_{\mathcal{A}_{t,n}}$ and $\Phi_{t,n} = \Phi_{\mathcal{A}_{t,n}}$. Then

$$\rho_{t,n} = \inf_{\mathbf{h} \in \Gamma_{\mathcal{N}}^* \cap \Phi_{t,n}} \frac{\max_{1 \leq j \leq n} \mathbf{h}(\{p_j\})}{\mathbf{h}(\{s\})}$$

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Theorem

$$\rho_{t,n} = \inf_{\mathbf{h} \in \Psi_p^* \cap \Phi_{t,n}} \frac{\max_{1 \leq j \leq n} \mathbf{h}(\{p_j\})}{\mathbf{h}(\{s\})}$$

where $p = \{\{s\}, \mathcal{P}\}$

Shamir's threshold scheme by entropy functions

Theorem

$$\rho_{t,n} = \min_{\mathbf{h} \in \Psi_\rho \cap \Phi_{t,n}} \frac{\max_{1 \leq j \leq n} \mathbf{h}(\{p_j\})}{\mathbf{h}(\{s\})}$$

and the solution is

$$\rho_{t,n} = 1$$

and

$$\arg \min \rho_{t,n} = \{\mathbf{h} : aU_{t,n+1}, a > 0\}$$

Further research: group-symmetrical entropy functions and their applications to other areas

From partition-symmetrical entropy functions to group-symmetrical entropy functions

Fix set induced by a partition-group Σ_p

$$\text{fix}_p = \{\mathbf{h} \in \mathcal{H}_n : \mathbf{h}(\mathcal{A}) = \mathbf{h}(\mathcal{B}) \\ \text{if } |\mathcal{A} \cap \mathcal{N}_i| = |\mathcal{B} \cap \mathcal{N}_i|, \forall i = 1, \dots, t\}.$$

Note that \mathcal{A} and \mathcal{B} such that $|\mathcal{A} \cap \mathcal{N}_i| = |\mathcal{B} \cap \mathcal{N}_i|, \forall i = 1, \dots, t$ are in the same orbit of the action Σ_p on $2^{\mathcal{N}}$ for Σ_p .

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Fix set induced by an arbitrary permutation group $\Sigma \leq S_n$

Let \mathcal{O}_Σ be the set of all orbits of the action Σ on $2^{\mathcal{N}}$.

$$\text{fix}_\Sigma = \{\mathbf{h} \in \mathcal{H}_n : \mathbf{h}(\mathcal{A}) = \mathbf{h}(\mathcal{B}) \text{ if } \mathcal{A}, \mathcal{B} \in \mathcal{O}, \mathcal{O} \in \mathcal{O}_\Sigma\}.$$

Thank you!