## 

$$
\text { Jönt work withRon M. Roth } \quad \text {.http://ar xiv.org/abs/1302.1931 }
$$

## Overview

- Motivation
- Proposed coding scheme
- Decoding
- Conclusions / open problems


## Motivation

## Storing Data

Disk


## Storing Data

Disk


## Storing Data

Disk


## Storing Data

Disk


## Storing Data

Disk


## Storing Data

Disk


## Storing Data

Disk


1 symbol error

## Storing Data

Disk


1 symbol error

2 symbol errors

## Storing Data



1 burst error

RAID:
Redundant Array of Inexpensive Disks

Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


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Redundant Array of Inexpensive Disks

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RAID:
Redundant Array of Inexpensive Disks

Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk $6 \quad$ Disk 7


## RAID: <br> Redundant Array of Inexpensive Disks



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## RAID: <br> Redundant Array of Inexpensive Disks

| Disk 1 | Disk 2 | Disk 3 | Disk 4 | Disk 5 | Disk 6 | Disk 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |



## RAID:

## Redundant Array of Inexpensive Disks




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| Disk 1 | Disk 2 | Disk 3 | Disk 4 | Disk 5 | Disk 6 | Disk 7 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |



## RAID:

## Redundant Array of Inexpensive Disks



Stripe 1

## RAID: <br> Redundant Array of Inexpensive Disks



Stripe 1
Stripe 2

## RAID: <br> Redundant Array of Inexpensive Disks

Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


Stripe 1
Stripe 2
Stripe 3

## RAID: <br> Redundant Array of Inexpensive Disks

Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


Stripe 1
Stripe 2
Stripe 3
Stripe 4

## RAID: <br> Redundant Array of Inexpensive Disks

Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


Stripe 1
Stripe 2
Stripe 3
Stripe 4
Stripe 5

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Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


Stripe 1
Stripe 2
Stripe 3
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Stripe 5
Stripe 6

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Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


Stripe 1
Stripe 2
Stripe 3
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Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


Stripe 1
Stripe 2
Stripe 3
Stripe 4
Stripe 5
Stripe 6
Stripe 7
Stripe 8

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## RAID: <br> Redundant Array of Inexpensive Disks

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Stripe 1
Stripe 2
Stripe 3
Stripe 4
Stripe 5
Stripe 6
Stripe 7
Stripe 8

## Similar Principle with DRAMs

DRAM 1 DRAM 2 DRAM 3 DRAM 4 DRAM 5 DRAM 6 DRAM 7


Stripe 1
Stripe 2
Stripe 3
Stripe 4
Stripe 5
Stripe 6
Stripe 7
Stripe 8

## RAID: <br> Redundant Array of Inexpensive Disks

Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


Stripe 1
Stripe 2
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## Error / erasure model

## Error / Erasure Model

Disk 1 Disk 2 Disk 3 Disk 4 Disk 5 Disk 6 Disk 7


Stripe 1
Stripe 2
Stripe 3
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Stripe 1
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## Error / Erasure Model



Stripe 1
Stripe 2
Stripe 3
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Stripe 5
Stripe 6
Stripe 7
Stripe 8

Error / Erasure Model


Error / Erasure Model


## Error / Erasure Model


$m \times n$

## Wish List for ECC Scheme

We want ECC schemes that can jointly handle

- burst errors,
- symbol errors.


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Moreover, the ECC scheme schould also be able to handle

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- burst errors,
- symbol errors.

Moreover, the ECC scheme schould also be able to handle

- burst erasures,
- symbol erasures.

Finally, the ECC scheme should have

- low encoding complexity,
- low decoding complexity.


## Overview

## Example of previous coding schemes for related setups.

[Blokh, Zyablov, 1974]
[Kasahara, Hirasawa, Sugiyama, Namekawa, 1976]
[Zinov'ev, Zyablov, 1979]
[Zinov’ev, 1981]
[Abdel-Ghaffar, Hassner, 1991]
[Feng, Tzeng, 1991]
[Dumer, 1998]
[Metzner, Kapturowski, 1990]
[Sakata, 1991]
[Krachkovsky, Lee, 1998]
[Roth, Seroussi, 1998]
[Haslach, Vinck, 1999, 2000]
[Brown, Minder, Shokrollahi, 2004]
[Justesen, Thommesen, Høholdt, 2004]
[Bleichenbacher, Kiayas, Yung, 2007]
[Wu, 2008]
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[Gabrys, Yaakobi, Dolecek, 2013]

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## Our proposed coding scheme:

- Code construction
- Code properties
- Decoding algorithms


## Example of a more "traditional" coding scheme

## Concatenated Coding Scheme In Particular: Product Coding Scheme

## Concatenated Coding Scheme In Particular: Product Coding Scheme


$m^{\prime} \times n^{\prime}$ array of information symbols

## Concatenated Coding Scheme In Particular: Product Coding Scheme



## Concatenated Coding Scheme In Particular: Product Coding Scheme



Encoder $_{\mathcal{C}_{1}}$

## Concatenated Coding Scheme In Particular: Product Coding Scheme



## Concatenated Coding Scheme In Particular: Product Coding Scheme

| - |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |

Encoder $_{\mathcal{C}_{1}}$

## Concatenated Coding Scheme In Particular: Product Coding Scheme



## Concatenated Coding Scheme In Particular: Product Coding Scheme

|  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

## Concatenated Coding Scheme In Particular: Product Coding Scheme



## Concatenated Coding Scheme In Particular: Product Coding Scheme



Encoder $_{\mathcal{C}_{2}}$

## Concatenated Coding Scheme In Particular: Product Coding Scheme



# Concatenated Coding Scheme In Particular: Product Coding Scheme 



4
Encoder $_{\mathcal{C}_{2}}$

## Concatenated Coding Scheme In Particular: Product Coding Scheme



## Concatenated Coding Scheme In Particular: Product Coding Scheme


$m \times n$ array of codeword symbols

## Concatenated Coding Scheme In Particular: Product Coding Scheme

|  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |

# Concatenated Coding Scheme In Particular: Product Coding Scheme 



# Concatenated Coding Scheme In Particular: Product Coding Scheme 



Decoding of columns based on $\mathcal{C}_{2}$ :

- corrects symbol errors (as far as possible),
- leaves or modifies block errors.


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## Concatenated Coding Scheme In Particular: Product Coding Scheme



# Concatenated Coding Scheme In Particular: Product Coding Scheme 



Decoding of rows based on $\mathcal{C}_{1}$ :

- corrects block errors (as far as possible).


## Concatenated Coding Scheme In Particular: Product Coding Scheme



Decoding of rows based on $\mathcal{C}_{1}$ :

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## Concatenated Coding Scheme In Particular: Product Coding Scheme



## Disadvantages of Product Coding Scheme



Product coding schemes have many favorable aspects.

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Product coding schemes have many favorable aspects.

However:

- $\operatorname{Rate}\left(\mathcal{C}_{1}\right)<1$.
$\Rightarrow$ The redundancy of the coding scheme is at least linear in $m$.
- Rate $\left(\mathcal{C}_{2}\right)<1$.
$\Rightarrow$ The redundancy of the coding scheme is at least linear in $n$.


## Proposed coding scheme:

## Overview

## Overview of Proposed Coding Scheme

## Overview of Proposed Coding Scheme


$m \times n^{\prime}$ array of information symbols

## Overview of Proposed Coding Scheme



## Overview of Proposed Coding Scheme



Encoder $_{\mathcal{C}}$

## Overview of Proposed Coding Scheme



## Overview of Proposed Coding Scheme



Encoder $_{C}$

## Overview of Proposed Coding Scheme



## Overview of Proposed Coding Scheme



## Overview of Proposed Coding Scheme



## Overview of Proposed Coding Scheme



4
multiply by square matrix $H_{0}^{-1}$

## Overview of Proposed Coding Scheme



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## Overview of Proposed Coding Scheme



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## Overview of Proposed Coding Scheme



## Overview of Proposed Coding Scheme



## Overview of Proposed Coding Scheme



Decoding based on code $\mathcal{C}$ and matrices $H_{0}, H_{1}, \ldots$.

## Overview of Proposed Coding Scheme



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## Overview of Proposed Coding Scheme



## Overview of Proposed Coding Scheme



## Proposed coding scheme:

## Code definition

## Definition of Proposed Code

- Let $\mathcal{C}$ be a linear $[n, k, d]$ code over $F$.


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- writing

$$
H_{\text {in }}=\left(\begin{array}{ccccc}
\begin{array}{cccc}
H_{0} & H_{1} & \ldots & \ldots \\
m \times m & H_{n-1} & m \times m & m \times m \\
m \times m
\end{array}
\end{array}\right)
$$

every submatrix $H_{j}$ is invertible over $F$,

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m \times m & m \times m & m \times m & m \times m & m \times m
\end{array}\right),
$$

every submatrix $H_{j}$ is invertible over $F$,

- every subset of $\delta-1$ columns in $H_{\text {in }}$ is linearly independent.


## Definition of Proposed Code

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We define $\mathbb{C}=\left(\mathcal{C}, H_{\text {in }}\right)$ to be

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We define $\mathbb{C}=\left(\mathcal{C}, H_{\text {in }}\right)$ to be

- the linear $[m n, m k]$ code over $F$
- which consists of all $m \times n$ matrices over $F$

$$
\Gamma=\left(\begin{array}{cccccc}
\Gamma_{0} & \Gamma_{1} & \ldots & \ldots & \Gamma_{n-1} \\
m \times 1 & m \times 1 & m \times 1 & m \times 1 & m \times 1
\end{array}\right)
$$

## Definition of Proposed Code

We define $\mathbb{C}=\left(\mathcal{C}, H_{\text {in }}\right)$ to be

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\end{array}\right)
$$

such that each row in

$$
Z=\left(\begin{array}{ccccc}
H_{0} \Gamma_{0} & H_{1} \Gamma_{1} & \ldots & \ldots & H_{n-1} \Gamma_{n-1} \\
m \times 1 & \ldots \times 1 & m \times 1 & m \times 1 & m \times 1
\end{array}\right)
$$

is a codeword of $\mathcal{C}$.

## Proposed coding scheme:

## Correction capabilities

## Correction Capability of Proposed Code

|  | error | erasure |
| :---: | :---: | :---: |
| block | $\tau$ columns in error | $\rho$ columns erased |
| symbol | $\vartheta$ symbols in error | $\varrho$ symbols erased |

## Correction Capability of Proposed Code

|  | error | erasure |
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| block | $\tau$ columns in error | $\rho$ columns erased |
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Theorem: There exists a decoder for the code $\mathbb{C}$ that correctly recovers the transmitted array in the presence of the above error and erasure types (which may occur simultaneously), whenever

$$
\begin{aligned}
& 2 \tau+\rho \leq d-2 \\
& 2 \vartheta+\varrho \leq \delta-1
\end{aligned}
$$

## Correction Capability of Proposed Code

|  | error | erasure |
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$$
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& 2 \vartheta+\varrho \leq \delta-1
\end{aligned}
$$

© Surprisingly simple conditions!

## Proposed coding scheme:

 Advantages
## Advantages of Proposed Coding Scheme



## Advantages of Proposed Coding Scheme



- Multiplication by $H_{j}^{-1}$ is like a rate- 1 inner code.


## Advantages of Proposed Coding Scheme



- Multiplication by $H_{j}^{-1}$ is like a rate- 1 inner code.
$\Rightarrow$ The redundancy of the coding scheme can be independent of $n$.


## Advantages of Proposed Coding Scheme



Overall, we want to handle $\vartheta$ symbol errors and $\varrho$ symbol erasures.

## Advantages of Proposed Coding Scheme



Overall, we want to handle $\vartheta$ symbol errors and $\varrho$ symbol erasures.

## Product code:

code $\mathcal{C}_{2}$ needs to be designed such that it can handle the worst case where $\vartheta$ symbol errors and $\varrho$ symbol erasures appear all in the same column.

Proposed code:
"same redundancy symbols" can be used to handle overall $\vartheta$ symbol errors and $\varrho$ symbol erasures.

## Advantages of Proposed Coding Scheme



Overall, we want to handle $\vartheta$ symbol errors and $\varrho$ symbol erasures.

## Product code:

code $\mathcal{C}_{2}$ needs to be designed such that it can handle the worst case where $\vartheta$ symbol errors and $\varrho$ symbol erasures appear all in the same column.

Proposed code:
"same redundancy symbols" can be used to handle overall $\vartheta$ symbol errors and $\varrho$ symbol erasures.
$\Rightarrow$ "Price" that is paid for this:

$$
\begin{array}{l|l} 
& 2 \tau+\rho \leq d-2 \\
\text { vs. } & 2 \tau+\rho \leq d-1
\end{array}
$$

## Advantages of Proposed Coding Scheme



## Advantages of Proposed Coding Scheme



- One can identify a range of code parameters for $\mathbb{C}$ for which the resulting redundancy improves upon the best known.
(To the best of our knowledge.)


## Advantages of Proposed Coding Scheme



## Advantages of Proposed Coding Scheme



- One can devise efficient decoders for combinations of block and symbol errors and erasures most relevant in practical applications.


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- In particular, these decoders are more efficient than a corresponding decoder for a suitably chosen Reed-Solomon code of length $m n$ over $F$, assuming such a Reed-Solomon code exists in the first place.


## Advantages of Proposed Coding Scheme



- One can devise efficient decoders for combinations of block and symbol errors and erasures most relevant in practical applications.
- In particular, these decoders are more efficient than a corresponding decoder for a suitably chosen Reed-Solomon code of length $m n$ over $F$, assuming such a Reed-Solomon code exists in the first place.
- Finding efficient decoders for the general case is still an open problem.

Decoding

## A Simplified Setup

## A Simplified Setup: Error Model



- Encoded array has size $m \times n$.
- Up to $t$ burst errors happen.


## A Simplified Setup: Encoding

## A Simplified Setup: Encoding


$m \times n^{\prime}$ array of information symbols

## A Simplified Setup: Encoding



## A Simplified Setup: Encoding



## Encoder $_{C}$

## A Simplified Setup: Encoding



## A Simplified Setup: Encoding



Encoder $_{C}$

## A Simplified Setup: Encoding



## A Simplified Setup: Encoding



## A Simplified Setup: Encoding



## A Simplified Setup: Decoding



## A Simplified Setup: Decoding



We consider first the case $m=1$.

## A Simplified Setup: Decoding



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A necessary condition for being able to correct (up to) $t$ errors:
\#error patterns that we want to correct $\leq$ \#different syndromes.

## A Simplified Setup: Decoding



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$$
\binom{n}{t} \cdot q^{t} \lesssim q^{n-k} .
$$

## A Simplified Setup: Decoding



Now we consider the case of general $m$.

## A Simplified Setup: Decoding



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$$

## A Simplified Setup: Decoding



Now we consider the case of general $m$.
A necessary condition for being able to correct (up to) $t$ burst errors:

$$
t \lesssim \frac{m}{m+1} \cdot(n-k)
$$

(Assumption: $n \approx q$ and $t$ small.)

## A Simplified Setup: Decoding



Now we consider the case of general $m$.
A necessary condition for being able to correct (up to) $t$ burst errors:

$$
t \leq \frac{1}{2} \cdot(n-k+\operatorname{rank}(E)-1)
$$

(Assumption: MDS code.)

## A Simplified Setup: Decoding



Now we consider the case of general $m$.
A necessary condition for being able to correct (up to) $t$ burst errors:
$t \leq \frac{1}{2} \cdot(n-k+\operatorname{rank}(E)-1)=\left\{\begin{array}{ll}\frac{1}{2} \cdot(n-k) & (\operatorname{rank}(E)=1) \\ n-k-1 & (\operatorname{rank}(E)=t)\end{array}\right.$.
(Assumption: $\mathcal{C}$ is an MDS code.)

## A Simplified Setup: Decoding



Now we consider the case of general $m$.

## Remarkable:

Decoding can be done by Gaussian elimination, independently of the chosen code $\mathcal{C}$.

See [Metzner, Kapturowski, 1990] and [Haslach, Vinck, 2000/2001].

# Proposed Coding Scheme: Encoding and Decoding 

Assume that the rows have already been encoded.


## Proposed Coding Scheme: Encoding and Decoding



4
multiply by square matrix $H_{0}^{-1}$

## Proposed Coding Scheme: Encoding and Decoding



## Proposed Coding Scheme: Encoding and Decoding



4
multiply by square matrix $H_{1}^{-1}$

## Proposed Coding Scheme: Encoding and Decoding



## Proposed Coding Scheme: Encoding and Decoding


$m \times n$ array of codeword symbols

## Proposed Coding Scheme: Encoding and Decoding



## Proposed Coding Scheme: Encoding and Decoding



## Proposed Coding Scheme: Encoding and Decoding

First decoding step: multiply the $i$-th column by $H_{i}$.


## Proposed Coding Scheme: Encoding and Decoding

First decoding step: multiply the $i$-th column by $H_{i}$.


Decoder then takes advantage of special structure of burst errors in this modified array.

## Conclusions

## Conclusions

- Motivation for block and symbol errors / erasures.
- Discussed traditional and novel ECC schemes that can handle such errors / erasures with low complexity and compared their advantages / disadvantages.
- Finding efficient decoders for the general case is still an open problem.
- More details, in particular decoding schemes and comparison with other coding schemes, can be found in the paper available at http://arxiv.org/abs/1302.1931


## Original Talk Topic

> Connections between
> Techniques for Analyzing Finite State Channels and
> Techniques for Analyzing Graphical Models

- Theorems 26 and Corollary 27 in
P. O. Vontobel, "The Bethe permanent of a non-negative matrix,"

IEEE Trans. Inf. Theory, vol. 59, no. 3, pp. 1866-1901, Mar. 2013.

- Theorem 8 and Corollary 9 in
H. D. Pfister and P. O. Vontobel, "On the relevance of graph covers and zeta functions for the analysis of SPA decoding of cycle codes," Proc. ISIT 2013.


## Optimization Problem

$$
\max _{\left\{\mu_{i}, p_{i}\right\}} f\left(\left\{\mu_{i}, p_{i j}\right\}\right)
$$



$$
f\left(\left\{\mu_{i}, p_{i j}\right\}\right)=-\sum_{(i, j) \in \mathcal{B}} \mu_{i} \cdot p_{i j} \cdot \log \left(p_{i j}\right)
$$

$\mathcal{S}$ : state alphabet
$\mathcal{B}$ : branch alphabet
$\left\{\mu_{i}\right\}$ : stationary state probabilities
$\left\{p_{i j}\right\}$ : transition probabilities

## Optimization Problem

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$$



$$
f\left(\left\{\mu_{i}, p_{i j}\right\}\right)=-\sum_{(i, j) \in \mathcal{B}} \mu_{i} \cdot p_{i j} \cdot \log \left(p_{i j}\right)+\sum_{(i, j) \in \mathcal{B}} \mu_{i} \cdot p_{i j} \cdot T_{i j}
$$

$\mathcal{S}$ : state alphabet
$\mathcal{B}$ : branch alphabet
$\left\{\mu_{i}\right\}$ : stationary state probabilities
$\left\{p_{i j}\right\}$ : transition probabilities
$\left\{T_{i j}\right\}$ : branch weights

## Solution of this Optimization Problem

## Definitions:

- "Noisy adjacency matrix" A with $A_{i j}=\left\{\begin{array}{ll}\mathrm{e}^{T_{i j}} & \text { if }(i, j) \in \mathcal{B} \\ 0 & \text { otherwise }\end{array}\right.$.
- Let $\rho$ be the maximal (real) eigenvalue of A .
- Let $\beta^{\top}$ and $\gamma$ be the corresponding left and right eigenvectors.

Then:

$$
\begin{aligned}
p_{i j}^{*} & =\frac{\gamma_{j}}{\gamma_{i}} \cdot \frac{A_{i j}}{\rho} \quad(i, j) \in \mathcal{B} \\
\mu_{i}^{*} & \propto \beta_{i} \cdot \gamma_{i} \quad i \in \mathcal{S} \\
f\left(\left\{\mu_{i}^{*}, p_{i j}^{*}\right\}\right) & =\log (\rho)
\end{aligned}
$$

This and similar problems were solved in, e.g., [Justesen, Høholdt, 1984], [Khayrallah, Neuhoff, 1996], [V., Kavčić, Arnold, Loeliger, 2008].


