

# MINIMAL RATIONAL CURVES ON MODULI SPACES OF STABLE BUNDLES

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## INTRODUCTION

Let  $C$  be a smooth projective curve of genus  $g \geq 2$  and  $\mathcal{L}$  be a line bundle on  $C$  of degree  $d$ . Let  $M := \mathcal{U}_C(r, \mathcal{L})$  be the moduli space of stable vector bundles on  $C$  of rank  $r$  and with the fixed determinant  $\mathcal{L}$ . Assume that  $(r, d) = 1$ , then  $M$  is a smooth projective Fano variety with Picard number 1. For any projective curve on  $M$ , we can define its degree with respect to the ample anti-canonical line bundle  $-K_M$ . The first result of this paper determines all rational curves of *minimal degree* passing through a generic point of  $M$ , which answers a question of Jun-Muk Hwang (see Question 1 in [Hw]).

**Theorem 1.** *Any rational curve in  $M$  passing through the generic point has degree at least  $2r$ . If  $g \geq 3$ , then it has degree  $2r$  if and only if it is a Hecke curve.*

On the other hand, a general problem (see Problem 1.13 of [Ko]) about low degree rational curves on Fano varieties is: Does there exist a rational curve  $\ell$ , on any smooth Fano variety  $X$  with Picard number 1, such that  $-K_X \cdot \ell$  equals to the index of  $X$ ? According to [Ko], we call such curve a *line* on  $X$ . The existence of *lines* is already implicit in Section 2 of [Ra] and part of Lemma 3.1 was made there (thanks to J.-M. Hwang and S. Ramanan for pointing this out). By using the proof of Theorem 1, we determine all *lines* on the moduli space  $M$ . There are unique  $0 < r_1 < r$ ,  $d_1$  such that  $r_1 d - r d_1 = 1$ . Let  $r_2 = r - r_1$ ,  $d_2 = d - d_1$  and  $\mathcal{U}_C(r_1, d_1)$  (resp.  $\mathcal{U}_C(r_2, d_2)$ ) be the moduli space of stable vector bundles with rank  $r_1$  (resp.  $r_2$ ) and degree  $d_1$  (resp.  $d_2$ ). Let  $\mathcal{R} \subset \mathcal{U}_C(r_1, d_1) \times \mathcal{U}_C(r_2, d_2)$  be the closed subvariety consisting of  $(V_1, V_2)$  satisfying  $\det(V_1) \otimes \det(V_2) = \mathcal{L}$ . We construct a projective bundle  $q : P \rightarrow \mathcal{R}$ . The lines in its fibers  $q^{-1}(\bullet) \cong \mathbb{P}^{r_1 r_2 (g-1)}$  are simply called *lines* on  $P$ .

**Theorem 2.** *There exist a morphism  $\Phi : P \rightarrow M$  such that for any line  $\mathbb{P}^1 \subset P$  its image  $\Phi(\mathbb{P}^1)$  is a line on  $M$  and  $\Phi|_{\mathbb{P}^1} : \mathbb{P}^1 \rightarrow \Phi(\mathbb{P}^1)$  is its normalization. Conversely, for any line  $\ell \subset M$  on  $M$ , there is a line  $\mathbb{P}^1 \subset P$  on  $P$  such that  $\Phi(\mathbb{P}^1) = \ell$ .*

When  $g \geq 4$ , the variety of Hecke curves passing through a generic point  $[W] \in M$  is isomorphic to a (double) projective bundle  $\mathbb{P}(\Omega_W)$  over  $C$ . Thus Theorem 1 can be used to give a simple proof of non-abelian Torelli theorem (Corollary 1.3) and the description of automorphisms of  $\mathcal{U}_C(r, \mathcal{L})$  (Corollary 1.4).

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The proofs of our theorems are elementary. If  $E$  is a vector bundle on  $X = C \times \mathbb{P}^1$  that induces the morphism of  $\mathbb{P}^1$  to  $M$ . Then a simple computation shows that its degree equals to the second Chern class of  $\mathcal{E}nd(E)$ . If the restriction of  $E$  to the generic fiber of ruled surface  $f : X \rightarrow C$  is semistable, then one sees easily that  $c_2(\mathcal{E}nd(E))$  is at least  $2r$ , and it is  $2r$  if and only if  $c_2(E) = 1$  (after tensoring  $E$  by suitable line bundle pulling back from  $\mathbb{P}^1$ ). This will force  $E$  to be an extension

$$0 \rightarrow f^*V \xrightarrow{i} E \xrightarrow{\phi} \mathcal{O}_{\{p\} \times \mathbb{P}^1}(-1) \rightarrow 0,$$

where  $V$  is a bundle on  $C$ . That is, after performing elementary transformation on  $E$  once along one fiber,  $E$  becomes a pullback of a vector bundle on  $C$ . For any  $x \in \mathbb{P}^1$ , restricting above sequence to  $C \times \{x\}$  and denote  $E|_{C \times \{x\}}$  by  $E_x$ , we have

$$0 \rightarrow V \xrightarrow{i_x} E_x \xrightarrow{\phi_x} \mathcal{O}_{\{p\} \times \mathbb{P}^1}(-1)_x \rightarrow 0.$$

Let  $\iota_x : V_p \rightarrow E_x|_p = E_{(p,x)}$  be the homomorphism between the fibers at  $p$  induced by the sheaf map  $i_x$ . Then the *right* Hecke modifications  $\{(\widetilde{W}^{ker(\iota_x)})^\vee; x \in \mathbb{P}^1\}$  of  $V$  along  $\{ker(\iota_x) \subset V_p; x \in \mathbb{P}^1\}$  are exactly  $\{E_x; x \in \mathbb{P}^1\}$ . Thus the given curve is a Hecke curve by definition. If the restriction of  $E$  to the generic fiber is not semistable, then using relative Harder-Narasimhan filtration we are able to prove that  $c_2(\mathcal{E}nd(E)) > 2r$  when  $g \geq 3$ . In the case  $g = 2$ , we can only prove that  $c_2(\mathcal{E}nd(E)) \geq 2r$ .

In Section 1, we recall the definitions of Hecke curves and show the two applications of Theorem 1. We prove Theorem 1 and Theorem 2 respectively in Section 2 and Section 3.

## §1 HECKE CURVES

For a vector bundle  $V$  on a smooth curve  $C$  and a subspace  $K \subset V_p$ , where  $V_p$  is the fiber of  $V$  at a point  $p \in C$ , there two canonical constructions called Hecke modifications defined as follows:

(I) We call  $V^L := Ker(V \rightarrow V_p \rightarrow V_p/K)$  the *left* Hecke modification of  $V$  along  $K \subset V_p$  at  $p \in C$ , which is the vector bundle satisfying

$$0 \rightarrow V^L \xrightarrow{\phi} V \rightarrow (V_p/K) \otimes \mathcal{O}_p \rightarrow 0$$

with  $\phi_p(V_p^L) = K$ .

(II) Let  $(V^\vee)^L$  be the *left* Hecke modification of  $V^\vee$  along  $(V_p/K)^\vee \subset V_p^\vee$ . Note that  $(V_p/K)^\vee = K^\perp$ , the subspace annihilated by  $K$ . We call its dual, denoted by  $V^R$ , the *right* Hecke modification of  $V$  along  $K$  at  $p \in C$ , which satisfies

$$0 \rightarrow V \xrightarrow{\phi} V^R \rightarrow (V_p^R/(K^\perp)^\vee) \otimes \mathcal{O}_p \rightarrow 0$$

with  $ker(\phi_p) = K$ .

In what follows, we adopt notations of [Hw] and [HR]. For any  $[W] \in M$ , let  $\mathbb{P}(W)$  be the projective bundle consisting of lines through the origin on each fiber. For  $p \in C$  and  $\zeta \in \mathbb{P}(W_p^\vee)$ , define a vector bundle  $W^\zeta$ , which is the *left* Hecke modification of  $W$  along  $\zeta^\perp \subset W_p$ , by

$$(1.1) \quad 0 \rightarrow W^\zeta \rightarrow W \rightarrow (W_p/\zeta^\perp) \otimes \mathcal{O}_p \rightarrow 0$$

where  $\zeta^\perp$  denotes the hyperplane in  $W_p$  annihilated by  $\zeta$ . Let  $\iota : W_p^\zeta \rightarrow W_p$  be the homomorphism between the fibers at  $p$  induced by the sheaf injection  $W^\zeta \rightarrow W$ . The kernel  $\ker(\iota)$  of  $\iota$  is a 1-dimensional subspace of  $W_p^\zeta$  and  $W$  is in fact the *right* Hecke modification of  $W^\zeta$  along  $\ker(\iota) \subset W_p^\zeta$ . Let  $\mathcal{H}$  be a line in  $\mathbb{P}(W_p^\zeta)$  containing the point  $[\ker(\iota)]$ . For each point  $[l] \in \mathcal{H}$  corresponding to a 1-dimensional subspace  $l \subset W_p^\zeta$ , define a vector bundle  $\widetilde{W}^l$  by

$$(1.2) \quad 0 \rightarrow \widetilde{W}^l \rightarrow (W^\zeta)^\vee \rightarrow ((W^\zeta)_p^\vee / l^\perp) \otimes \mathcal{O}_p \rightarrow 0$$

where  $l^\perp \subset (W^\zeta)_p^\vee$  is the hyperplane annihilating  $l$ . The bundle  $(\widetilde{W}^l)^\vee$  is the *right* Hecke modification of  $W^\zeta$  along  $l \subset W_p^\zeta$  and, for  $l = \ker(\iota)$ ,

$$(1.3) \quad \widetilde{W}^{\ker(\iota)} \cong W^\vee.$$

Thus, if it happens that  $(\widetilde{W}^l)^\vee$  is a stable bundle for each  $[l] \in \mathcal{H}$ , then

$$\{(\widetilde{W}^l)^\vee ; l \in \mathcal{H}\}$$

will define a rational curve passing through  $[W] \in M$ . Such a rational curve in  $M$  is called a Hecke curve. By [NR], it can be shown that a Hecke curve is smooth and has degree  $2r$  with respect to  $-K_M$ . We will see in the following that, for generic  $[W] \in M$ ,  $(\widetilde{W}^l)^\vee$  is always a stable bundle for each  $[l] \in \mathcal{H}$ .

Given two nonnegative integers  $k, \ell$ , a vector bundle  $W$  of rank  $r$  and degree  $d$  on  $C$  is  $(k, \ell)$ -stable, if, for each proper subbundle  $W'$  of  $W$ , we have

$$\frac{\deg(W') + k}{rk(W')} < \frac{\deg(W) + k - \ell}{r}.$$

The usual stability is equivalent to  $(0, 0)$ -stability. The dual bundle of a  $(k, \ell)$ -stable bundle is  $(\ell, k)$ -stable. The proofs of following lemmas are easy and elementary.

**Lemma 1.1** ([NR]). *If  $g \geq 4$ , a generic point  $[W] \in M$  corresponds to a  $(1, 1)$ -stable bundle  $W$ .*

**Lemma 1.2** ([NR]). *Let  $0 \rightarrow V \rightarrow W \rightarrow \mathcal{O}_p \rightarrow 0$  be an exact sequence, where  $\mathcal{O}_p$  is the 1-dimensional skyscraper sheaf at  $p \in C$ . If  $W$  is  $(k, \ell)$ -stable, then  $V$  is  $(k, \ell - 1)$ -stable.*

If we choose a generic point  $[W] \in M$  such that  $W$  is a  $(1, 1)$ -stable bundle, then  $W^\zeta$  is a  $(1, 0)$ -stable bundle by Lemma 1.2 and  $(W^\zeta)^\vee$  is a  $(0, 1)$ -stable bundle. Thus  $\{(\widetilde{W}^l)^\vee ; l \in \mathcal{H}\}$  is a family of stable bundles, which defines a Hecke curve passing through  $[W] \in M$ . Let  $\mathbb{P}(W^\vee) \rightarrow C$  be the projection and  $\Omega_W$  be its relative cotangent bundle. The projective bundle  $\mathbb{P}(\Omega_W)$  over  $\mathbb{P}(W^\vee)$  is a smooth projective variety of dimension  $2r - 2$ . Then the variety of all Hecke curves through  $[W] \in M$  is naturally isomorphic to  $\mathbb{P}(\Omega_W) \xrightarrow{p} C$ . Thus Theorem 1 can be used to prove the following known results (see [NRa], [KP] and [HR]).

**Corollary 1.3.** *Let  $C$  and  $C'$  be two smooth projective curves of genus  $g \geq 4$ . If  $\mathcal{U}_C(r, \mathcal{L}) \cong \mathcal{U}_{C'}(r, \mathcal{L}')$ , then  $C \cong C'$ .*

*Proof.* Let  $[W'] \in \mathcal{U}_{C'}(r, \mathcal{L}')$  be the image of  $[W]$ . Then  $\mathcal{U}_C(r, \mathcal{L}) \cong \mathcal{U}_{C'}(r, \mathcal{L}')$  induces an isomorphism between the varieties of rational curves of degree  $2r$  passing through  $[W]$ ,  $[W']$  respectively. By Theorem 1, it induces an isomorphism  $\mathbb{P}(\Omega_W) \cong \mathbb{P}(\Omega_{W'})$  between the varieties of Hecke curves passing through  $[W]$ ,  $[W']$  respectively. Thus it induces an isomorphism  $C \cong C'$ .

**Corollary 1.4.** *Let  $C$  be a smooth projective curves of genus  $g \geq 4$ . If  $r > 2$ , then the group of automorphisms of  $\mathcal{U}_C(r, \mathcal{L})$  is generated by automorphisms of the following two types:*

- (1)  $W \mapsto \gamma^*W$  where  $\gamma$  is an automorphism of  $C$ .
- (2)  $W \mapsto W \otimes \tau$  where  $\tau$  is an  $r$ -torsion of the Jacobian  $J_C^0$ .

When  $r = 2$ , additional generators of the type (3) are needed: (3)  $W \mapsto W^\vee \otimes L$  where  $L$  is a line bundle of degree  $d$  with  $L^{\otimes 2} = \mathcal{L}^{\otimes 2}$ .

*Proof.* Let  $\sigma$  be an automorphism of  $M = \mathcal{U}_C(r, \mathcal{L})$  and  $[W] \in M$  a generic point. Then  $\sigma$  induces an isomorphism  $G : \mathbb{P}(\Omega_W) \cong \mathbb{P}(\Omega_{\sigma(W)})$ . Thus there is an automorphism  $\gamma : C \cong C$  (being independent of generic  $[W]$  since  $\text{Aut}(C)$  is finite) such that

$$\begin{array}{ccc} \mathbb{P}(\Omega_W) & \xrightarrow{G} & \mathbb{P}(\Omega_{\sigma(W)}) \\ p \downarrow & & p' \downarrow \\ C & \xrightarrow{\gamma} & C \end{array}$$

and  $G$  induces either  $\mathbb{P}(W^\vee) \cong \mathbb{P}(\sigma(W)^\vee)$  or  $\mathbb{P}(W^\vee) \cong \mathbb{P}(\sigma(W))$  (see Lemma 5.4 of [HR]). Thus either  $W \cong \gamma^*\sigma(W) \otimes \tau$  or  $W \cong \gamma^*\sigma(W)^\vee \otimes L$  for lines bundles  $\tau, L$ . Since  $W$  and  $\sigma(W)$  have the fixed determinant  $\mathcal{L}$ ,  $\tau, L$  must satisfy the requirements in the corollary. The proof is finished.

## §2 GENERIC MINIMAL RATIONAL CURVES ON THE MODULI SPACE

For any rational curve  $\mathbb{P}^1 \subset M$  through a general point of  $M$ , let  $E$  be the vector bundle on  $X := C \times \mathbb{P}^1$ , which induces the embedding  $\mathbb{P}^1 \subset M$ . Let  $\pi : X = C \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  be the projection and  $\mathbb{E} \subset \mathcal{E}nd(E)$  be the subbundle of trace free. Then, since  $\pi_*(\mathbb{E}) = 0$ , we have  $T_M|_{\mathbb{P}^1} = R^1\pi_*\mathbb{E}$  and, by using Leray spectral sequence and Riemann-Roch theorem,

$$-\chi(\mathbb{E}) = \chi(R^1\pi_*\mathbb{E}) = -K_M \cdot \mathbb{P}^1 + (r^2 - 1)(g - 1).$$

By using  $\chi(\mathbb{E}) = \text{deg}(ch(\mathbb{E}) \cdot td(T_X))_2$ , noting  $c_1(\mathbb{E}) = c_1(\mathcal{E}nd(E)) = 0$ , we get

$$(2.1) \quad -K_M \cdot \mathbb{P}^1 = c_2(\mathbb{E}) = 2rc_2(E) - (r - 1)c_1(E)^2 := \Delta(E).$$

Let  $f : X = C \times \mathbb{P}^1 \rightarrow C$  be the projection. Then, for any torsion free sheaf  $E$  on the ruled surface  $X$ , its restriction to a generic fiber  $f^{-1}(\xi) = X_\xi$  has the form

$$E|_{X_\xi} = \bigoplus_{i=1}^n \mathcal{O}_{X_\xi}(\alpha_i)^{\oplus r_i}, \quad \alpha_1 > \cdots > \alpha_n.$$

The  $\alpha = (\alpha_1^{\oplus r_1}, \dots, \alpha_n^{\oplus r_n})$  is called the generic splitting type of  $E$ . In our case, tensoring  $E$  by  $\pi^*\mathcal{O}(-\alpha_n)$ , we can (and we will) assume that  $\alpha_n = 0$ . Any such  $E$  admits a relative Hardar-Narasimhan filtration

$$0 = E_0 \subset E_1 \subset \cdots \subset E_n = E$$

of which the quotient sheaves  $F_i = E_i/E_{i-1}$  are torsion free with generic splitting type  $(\alpha_i^{\oplus r_i})$  respectively. Then it is easy to see that

$$\begin{aligned} 2c_2(E) &= 2 \sum_{i=1}^n c_2(F_i) + 2 \sum_{i=1}^n c_1(E_{i-1})c_1(F_i) \\ &= 2 \sum_{i=1}^n c_2(F_i) + c_1(E)^2 - \sum_{i=1}^n c_1(F_i)^2. \end{aligned}$$

Thus

$$\Delta(E) = 2r \sum_{i=1}^n c_2(F_i) + c_1(E)^2 - r \sum_{i=1}^n c_1(F_i)^2.$$

Let  $F'_i = F_i \otimes \pi^* \mathcal{O}_{\mathbb{P}^1}(-\alpha_i)$  ( $i = 1, \dots, n$ ), thus they have generic splitting type  $(0^{\oplus r_i})$  respectively. Let  $c_1(F_i) = f^* \mathcal{O}_C(d_i) + \pi^* \mathcal{O}_{\mathbb{P}^1}(r_i \alpha_i)$ , where  $\mathcal{O}_C(d_i)$ ,  $\mathcal{O}_{\mathbb{P}^1}(r_i \alpha_i)$  are divisors of degree  $d_i$ ,  $r_i \alpha_i$  on  $C$ ,  $\mathbb{P}^1$  respectively. Here we remark that for any torsion free sheaf  $F_i$  on  $X$  we have  $c_1(F_i)|_{f^{-1}(\bullet)} = c_1(F_i|_{f^{-1}(\bullet)})$  for general points on  $C$  (resp.  $c_1(F_i)|_{\pi^{-1}(\bullet)} = c_1(F_i|_{\pi^{-1}(\bullet)})$  for general points on  $\mathbb{P}^1$ ). Therefore  $d_i$  are the degrees of  $F_i$  on the general fiber of  $\pi$  respectively. Without confusion, we denote the degree of  $F_i$  (resp.  $E_i$ ) on the generic fiber of  $\pi$  by  $\deg(F_i)$  (resp.  $\deg(E_i)$ ). Consequently,  $\mu(E_i)$ ,  $\mu(E)$  denote the slope of restrictions of  $E_i$ ,  $E$  to the generic fiber of  $\pi$  respectively. Note that

$$c_2(F'_i) = c_2(F_i) - (r_i - 1)c_1(F_i)\pi^* \mathcal{O}_{\mathbb{P}^1}(\alpha_i) = c_2(F_i) - (r_i - 1)d_i \alpha_i,$$

$c_1(F_i)^2 = 2r_i d_i \alpha_i$  and  $c_1(E)^2 = 2d \sum_{i=1}^n r_i \alpha_i$ , we have

$$\Delta(E) = 2r \left( \sum_{i=1}^n c_2(F'_i) + \mu(E) \sum_{i=1}^n r_i \alpha_i - \sum_{i=1}^n d_i \alpha_i \right).$$

Let  $rk(E_i)$  denote the rank of  $E_i$ , note that  $r_i = rk(E_i) - rk(E_{i-1})$  and  $d_i = \deg(E_i) - \deg(E_{i-1})$ , we have

$$(2.2) \quad \Delta(E) = 2r \left( \sum_{i=1}^n c_2(F'_i) + \sum_{i=1}^{n-1} (\mu(E) - \mu(E_i))(\alpha_i - \alpha_{i+1})rk(E_i) \right).$$

**Lemma 2.1.** *Any torsion free sheaf  $\mathcal{E}$  of rank  $r$  on a ruled surface  $f : X \rightarrow C$ , with generic splitting type  $(0^{\oplus r})$ , must have  $c_2(\mathcal{E}) \geq 0$  and  $c_2(\mathcal{E}) = 0$  if and only if  $\mathcal{E} = f^*V$  where  $V$  is a locally free sheaf on  $C$ .*

*Proof.* The argument is in fact contained in the proof of Lemma 1.4 of [GL]. If  $\mathcal{E}$  has rank  $r = 1$ , then  $c_2(\mathcal{E}) = \ell(\mathcal{E}^{\vee\vee}/\mathcal{E}) \geq 0$  and  $c_2(\mathcal{E}) = 0$  if and only if  $\mathcal{E} = \mathcal{E}^{\vee\vee}$  is a pullback of line bundle on  $C$ .

If  $\mathcal{E}$  has rank  $r > 1$ , one can choose a rank 1 subsheaf  $\mathcal{O}(D) \subset \mathcal{E}$  such that  $\mathcal{E}/\mathcal{O}(D)$  is torsion free and  $c_1(\mathcal{O}(D)) = D$  consists of fibers. Since  $\mathcal{E}/\mathcal{O}(D)$  has generic splitting type  $(0^{\oplus (r-1)})$ , by induction hypothesis on rank, we can assume that  $c_2(\mathcal{E}/\mathcal{O}(D)) \geq 0$  and it is zero if and only if  $\mathcal{E}/\mathcal{O}(D)$  is the pullback of a local free sheaf  $V_1$  on  $C$ . Hence

$$c_2(\mathcal{E}) = c_2(\mathcal{O}(D)) + c_2(\mathcal{E}/\mathcal{O}(D)) + D \cdot (c_1(\mathcal{E}) - D) = c_2(\mathcal{E}/\mathcal{O}(D)) + c_2(\mathcal{O}(D)) \geq 0,$$

and  $c_2(\mathcal{E}) = 0$  if and only if  $c_2(\mathcal{O}(D)) = c_2(\mathcal{E}/\mathcal{O}(D)) = 0$ . Then  $\mathcal{O}(D) = \mathcal{O}_X(D)$ ,  $\mathcal{E}/\mathcal{O}(D) = f^*V_1$ , which imply that  $\mathcal{E}$  has constant splitting type  $(0^{\oplus r})$  on each fiber. Thus  $\mathcal{E} = f^*V$  for some locally free sheaf  $V$  on  $C$ .

**Proposition 2.2.** *If the rational curve passes through the generic point, then*

$$\Delta(E) \geq 2r.$$

When  $g \geq 3$ , then the equality holds if and only if  $E$  has generic splitting type  $(0^{\oplus r})$  and  $c_2(E) = 1$ .

*Proof.* If  $\Delta(E) < 2r$ , then, by the equality (2.2), we have  $n \geq 2$  and

$$(2.3) \quad \sum_{i=1}^n c_2(F'_i) = 0, \quad \sum_{i=1}^{n-1} (\mu(E) - \mu(E_i))(\alpha_i - \alpha_{i+1})rk(E_i) < 1.$$

By Lemma 2.1, there are vector bundles  $V_i$  on  $C$  such that  $F_i = f^*V_i \otimes \pi^*\mathcal{O}_{\mathbb{P}^1}(\alpha_i)$ , where  $V_i$  has degree  $d_i$  and rank  $r_i$ . Thus the rational curve  $\mathbb{P}^1$  parametrizes a family of stable bundles that are obtained by iterating extensions of  $V_i$  and  $V_{i+1}$ . Such bundles in  $M$  form a locally closed subset  $\mathcal{R}_{(r_i)}^{(d_i)}$  of codimension at least

$$(g-1) \sum_{i=1}^{n-1} (r_{i+1} + \cdots + r_n)r_i + n - 1 + \sum_{i=1}^{n-1} \left( \frac{d_1 + \cdots + d_i}{r_1 + \cdots + r_i} - \frac{d}{r} \right) (r_1 + \cdots + r_i)(r_{i+1} + r_i).$$

By (2.3),  $\deg(E_i) = d_1 + \cdots + d_i$ ,  $rk(E_i) = r_1 + \cdots + r_i$ , we have

$$(2.4) \quad \sum_{i=1}^{n-1} \left( \frac{d_1 + \cdots + d_i}{r_1 + \cdots + r_i} - \frac{d}{r} \right) (r_1 + \cdots + r_i)(r_{i+1} + r_i) > -r_{i_0+1} + r_{i_0}$$

where  $r_{i_0+1} + r_{i_0} = \max\{r_{i+1} + r_i \mid i = 1, \dots, n\}$ . Thus, by using the fact that  $xy \geq x + y - 1$  for any positive integers  $x$  and  $y$ , we have

$$\text{Codim}(\mathcal{R}_{(r_i)}^{(d_i)}) > (g-2) \sum_{i=1}^{n-1} (r_{i+1} + \cdots + r_n)r_i + \sum_{i \neq i_0}^{n-1} (r_{i+1} + \cdots + r_n)r_i + n - 2 \geq 0.$$

For all possible  $\{r_i\}_i$ ,  $\{d_i\}_i$  satisfying (2.4), we get a countable locally closed subsets  $\mathcal{R}_{(r_i)}^{(d_i)}$  of positive codimensions. What we proved above is that if  $\Delta(E) < 2r$ , then the rational curve falls in these given locally closed subsets of positive codimension. Thus if the rational curve passes through the generic point, then  $\Delta(E) \geq 2r$ .

If  $E$  has generic splitting type  $(0^{\oplus r})$  and  $c_2(E) = 1$ , then it is obvious that  $\Delta(E) = 2r$ . Conversely, if  $\Delta(E) = 2r$  and the rational curve passes through the generic point, then it is easy to see that  $n = 1$  under the assumption  $g \geq 3$ . Otherwise the rational curve will fall in a  $\mathcal{R}_{(r_i)}^{(d_i)}$  of positive codimension. The proof is finished.

From now on, we assume that  $E$  has generic splitting type  $(0^{\oplus r})$ . If  $E$  has a jumping line  $X_p = f^{-1}(p)$  ( $p \in C$ ), i.e.,

$$E|_{X_p} = \bigoplus_{i=1}^n \mathcal{O}_{X_p}(\beta_i)^{\oplus r_i}, \quad \beta_1 > \cdots > \beta_n$$

with the type  $(\beta_1^{\oplus r_1}, \dots, \beta_n^{\oplus r_n})$  different from  $(0^{\oplus r})$ . Then we can perform the elementary transformation on  $E$  along  $X_p$  by taking  $F$  to be the kernel of the (unique surjective) homomorphism  $\phi : E \rightarrow E|_{X_p} \rightarrow \mathcal{O}_{X_p}(\beta_n)^{\oplus r_n}$ . Clearly,

$$(2.5) \quad 0 \rightarrow F \rightarrow E \xrightarrow{\phi} \mathcal{O}_{X_p}(\beta_n)^{\oplus r_n} \rightarrow 0.$$

An easy calculation yields

**Lemma 2.3.**  $c_1(F) = c_1(E) - r_n X_p$  and  $c_2(F) = c_2(E) + r_n \beta_n$ .

*Proof.* By the exact sequence (2.5), the computation is straightforward.

**Lemma 2.4.** If  $c_2(E) = 1$  and  $E$  has generic splitting type  $(0^{\oplus r})$ , then  $E$  has exactly one jumping line  $X_p$  and the elementary transformation  $F$  along  $X_p$  is isomorphic to  $f^*V$  for a vector bundle  $V$  over  $C$ .

*Proof.* The  $E$  has at least one jumping line. Otherwise,  $E$  will be a pullback of a vector bundle over  $C$ , which is impossible. At any jumping line  $X_p$ , with splitting type  $(\beta_1^{\oplus r_1}, \dots, \beta_n^{\oplus r_n})$ , we must have  $\beta_n < 0$ . Hence, by Lemma 2.3 and Lemma 2.1,  $E$  has a unique jumping line  $X_p$  with  $\beta_n = -1$  and  $r_n = 1$ . Then  $F$  has no jumping line, thus  $F = f^*V$  for a vector bundle  $V$  over  $C$ .

Therefore by Proposition 2.2 and Lemma 2.4, if  $\Delta(E) = 2r$  and  $g \geq 3$ , we have

$$(2.6) \quad 0 \rightarrow f^*V \rightarrow E \xrightarrow{\phi} \mathcal{O}_{X_p}(-1) \rightarrow 0.$$

**Proposition 2.5.** If  $g \geq 3$  and  $\Delta(E) = 2r$ , then the rational curve is a Hecke curve.

*Proof.* For any  $x \in \mathbb{P}^1$ , let  $E_x$  denote  $E|_{C \times \{x\}}$ . Restrict the sequence (2.6) to  $\pi^{-1}(x) = C \times \{x\}$ , we get

$$(2.7) \quad 0 \rightarrow V \rightarrow E_x \xrightarrow{\phi_x} \mathcal{O}_{X_p}(-1)_x \rightarrow 0.$$

Let  $\iota_x : V_p \rightarrow E_x|_p = E_{(p,x)}$  be the homomorphism between the fibers at  $p$  induced by the sheaf injection  $V \rightarrow E_x$  in sequence (2.7). Then the kernel  $\ker(\iota_x)$  is a 1-dimensional subspace of  $V_p$ . When  $x$  moves on  $\mathbb{P}^1$ , these  $[\ker(\iota_x)] \in \mathbb{P}(V_p)$  form a line  $\mathcal{H} \subset \mathbb{P}(V_p)$ . Note that here  $V$  corresponds to  $W^\zeta$  in (1.1). It is easy to check that, as the same as (1.3), for any  $x \in \mathbb{P}^1$

$$\widetilde{W}^{\ker(\iota_x)} \cong E_x^\vee.$$

Thus  $\{(\widetilde{W}^{\ker(\iota_x)})^\vee; [\ker(\iota_x)] \in \mathcal{H}\}$  defines the given rational curve. That is, the given rational curve is a Hecke curve.

**Theorem 2.6.** Any rational curve of  $M$  passing through the generic point of  $M$  has at least degree  $2r$  with respect to  $-K_M$ . If  $g \geq 3$ , then it has degree  $2r$  if and only if it is a Hecke curve.

*Proof.* By (2.1), the degree  $-K_M \cdot \mathbb{P}^1$  equals to  $\Delta(E)$ . Then, by Proposition 2.2, it has degree at least  $2r$ . If it has degree  $2r$ , then by Proposition 2.5 it must be a Hecke curve. It was known that any Hecke curve has degree  $2r$ . We are done

### §3 LINES ON THE MODULI SPACES

Since  $(r, d) = 1$ , it is easy to see that there are unique  $d_1$  and  $0 < r_1 < r$  such that  $r_1 d - r d_1 = 1$ . Let  $r_2 = r - r_1$  and  $d_2 = d - d_1$ . Then

$$(3.1) \quad r_1 d - r d_1 = 1, \quad r_1 d_2 - d_1 r_2 = 1.$$

Let  $\mathcal{U}_C(r_1, d_1)$  (resp.  $\mathcal{U}_C(r_2, d_2)$ ) be the moduli space of stable vector bundles with rank  $r_1$  (resp.  $r_2$ ) and degree  $d_1$  (resp.  $d_2$ ). Then, by (3.1), they are smooth

projective varieties and there are universal vector bundles  $\mathcal{V}_1, \mathcal{V}_2$  on  $C \times \mathcal{U}_C(r_1, d_1)$  and  $C \times \mathcal{U}_C(r_2, d_2)$  respectively. Consider the morphism

$$\mathcal{U}_C(r_1, d_1) \times \mathcal{U}_C(r_2, d_2) \xrightarrow{\det(\bullet) \times \det(\bullet)} J_C^{d_1} \times J_C^{d_2} \xrightarrow{(\bullet) \otimes (\bullet)} J_C^d$$

and let  $\mathcal{R}$  be its fiber at  $[\mathcal{L}] \in J_C^d$ . We still use  $\mathcal{V}_1, \mathcal{V}_2$  to denote the pullback on  $C \times \mathcal{R}$  by the projection  $C \times \mathcal{R} \rightarrow C \times \mathcal{U}_C(r_i, d_i)$  ( $i = 1, 2$ ) respectively. Let  $p : C \times \mathcal{R} \rightarrow \mathcal{R}$  and  $\mathcal{G} = R^1 p_*(\mathcal{V}_2^\vee \otimes \mathcal{V}_1)$ . Then, by (3.1),  $\mathcal{G}$  is a vector bundle of rank  $r_1 r_2 (g-1) + 1$ . Let  $q : P = \mathbb{P}(\mathcal{G}^\vee) \rightarrow \mathcal{R}$  be the projective bundle parametrizing 1-dimensional quotients of  $\mathcal{G}^\vee$ . Let

$$f : C \times P \rightarrow C, \quad \pi : C \times P \rightarrow P$$

be the projections. Then there exists a universal extension

$$0 \rightarrow (id \times q)^* \mathcal{V}_1 \otimes \pi^* \mathcal{O}_P(1) \rightarrow \mathcal{E} \rightarrow (id \times q)^* \mathcal{V}_2 \rightarrow 0$$

on  $C \times P$  such that for any  $x = ([V_1], [V_2], [e]) \in P$ , where  $[V_i] \in \mathcal{U}_C(r_i, d_i)$  with  $\det(V_1) \otimes \det(V_2) = \mathcal{L}$  and  $[e] \subset H^1(C, V_2^\vee \otimes V_1)$  being a line through the origin, the bundle  $\mathcal{E}|_{C \times \{x\}}$  is the isomorphic class of vector bundles  $E$  given by extensions

$$(3.2) \quad 0 \rightarrow V_1 \rightarrow E \rightarrow V_2 \rightarrow 0$$

that defined by vectors on the line  $[e] \subset H^1(C, V_2^\vee \otimes V_1)$ .

**Lemma 3.1.** *Let  $V_i$  be vector bundles of rank  $r_i$  and degree  $d_i$  ( $i = 1, 2$ ), where  $r_i, d_i$  satisfy (3.1). Let  $0 \rightarrow V_1 \rightarrow V \rightarrow V_2 \rightarrow 0$  be a non-trivial extension. Then  $V$  is stable if and only if  $V_1$  and  $V_2$  are stable bundles.*

*Proof.* Assume that  $V_1, V_2$  are stable bundles, we prove that  $V$  is a stable bundle. Let  $V' \subset V$  be a proper subbundle of rank  $r'$  and  $V'_2 \subset V_2$  be its image with rank  $r'_2$ . Then we have  $0 \rightarrow V'_1 \rightarrow V' \rightarrow V'_2 \rightarrow 0$ , where  $V'_1 \subset V_1$  has rank  $r'_1$ . If  $V'_1 = 0$ , then  $V' \cong V'_2$  is a proper subsheaf of  $V_2$  since the extension is non-trivial. Thus  $r_2 r'_2 (\mu(V_2) - \mu(V'_2)) \geq 1$  by the stability of  $V_2$  (note that the left side is an integer). On the other hand, by (3.1), we have

$$(3.3) \quad \mu(V_1) = \mu(V) - \frac{1}{r_1 r}, \quad \mu(V_2) = \mu(V) + \frac{1}{r_2 r}.$$

Therefore,  $\mu(V') = \mu(V'_2) \leq \mu(V) + 1/r_2 r - 1/r_2 r'_2 < \mu(V)$ . If  $V'_2 = 0$ , it is clear that  $\mu(V') < \mu(V)$ . Then we assume that  $V'_i \neq 0$  ( $i = 1, 2$ ). If  $V'_2 = V_2$ , then  $V'_1 \neq V_1$  and  $\mu(V'_1) \leq \mu(V_1) - 1/r'_1 r_1$ . Thus, combining with (3.3), we have

$$\mu(V') = \mu(V'_1) \frac{r'_1}{r'} + \mu(V'_2) \frac{r'_2}{r'} \leq \mu(V) - \frac{r'_1}{r_1 r r'} + \frac{1}{r r'} - \frac{1}{r_1 r'} < \mu(V).$$

If  $V'_1 = V_1$ , one can check that  $\mu(V') < \mu(V)$  similarly. Thus we assume that  $V'_i \neq V_i$  ( $i = 1, 2$ ). Then  $\mu(V'_i) \leq \mu(V_i) - 1/r'_i r_i$  ( $i = 1, 2$ ). By (3.3), we have

$$\mu(V') = \mu(V'_1) \frac{r'_1}{r'} + \mu(V'_2) \frac{r'_2}{r'} \leq \mu(V) - \frac{1}{r r'} < \mu(V).$$



Assume that  $V$  is stable, we show that  $V_1, V_2$  must be stable. For any proper subbundle  $V'_1 \subset V_1$  of rank  $r'_1$ , by using stability of  $V$  and (3.3), we have

$$\mu(V'_1) \leq \mu(V) - \frac{1}{r'_1 r} = \mu(V_1) + \frac{1}{r_1 r} - \frac{1}{r'_1 r} < \mu(V_1).$$

Thus  $V_1$  is stable. For any proper subbundle  $V'_2 \subset V_2$  of rank  $r'_2$ , let  $V' \subset V$  be defined such that  $0 \rightarrow V_1 \rightarrow V' \rightarrow V'_2 \rightarrow 0$  being exact. Then, by using (3.3) and the stability of  $V$ :  $\mu(V') \leq \mu(V) - 1/r'r$  where  $r' = rk(V')$ , we have

$$\mu(V'_2) = \frac{r'}{r'_2} \mu(V') - \frac{r_1}{r'_2} \mu(V) + \frac{1}{r'_2 r} \leq \mu(V) < \mu(V_2).$$

Thus  $V_2$  is stable. We are done.

By the Lemma 3.1, the vector bundle  $\mathcal{E}$  given by the universal extension on  $C \times P$  defines a morphism

$$(3.4) \quad \Phi : P \rightarrow \mathcal{U}_C(r, \mathcal{L}) = M.$$

**Definition 3.2.** A smooth rational curve  $\mathbb{P}^1 \subset P$  is called a line on  $P$  if

$$\mathcal{O}_P(1)|_{\mathbb{P}^1} = \mathcal{O}_{\mathbb{P}^1}(1).$$

Thus it is contained in a fiber of  $q : P \rightarrow \mathcal{R}$ .

**Lemma 3.3.** For any line  $\mathbb{P}^1 \subset P$ , its image  $\Phi(\mathbb{P}^1)$  is a line on  $M$  and

$$\Phi|_{\mathbb{P}^1} : \mathbb{P}^1 \rightarrow \Phi(\mathbb{P}^1)$$

is the normalization of  $\Phi(\mathbb{P}^1)$ .

*Proof.* Let  $q(\mathbb{P}^1) = (V_1, V_2) \in \mathcal{R}$  and  $E = \mathcal{E}|_{C \times \mathbb{P}^1}$ . Then the morphism

$$\Phi|_{\mathbb{P}^1} : \mathbb{P}^1 \rightarrow M$$

is defined by  $E$ , which fits in the exact sequence

$$(3.5) \quad 0 \rightarrow f^*V_1 \otimes \pi^*\mathcal{O}_{\mathbb{P}^1}(1) \rightarrow E \rightarrow f^*V_2 \rightarrow 0,$$

where  $f : C \times \mathbb{P}^1 \rightarrow C$  and  $\pi : C \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  are the projections. Thus

$$c_1(E)^2 = 2r_1d, \quad c_2(E) = r_1d - d_1.$$

Then, by (2.1), the degree of  $\Phi^*(-K_M)|_{\mathbb{P}^1}$  equals to

$$\Delta(E) = c_2(\mathcal{E}nd(E)) = 2rc_2(E) - (r-1)c_1(E)^2 = 2(r_1d - rd_1) = 2,$$

which in particular implies that  $\Phi(\mathbb{P}^1)$  is a curve on  $M$  of degree 2 and  $\Phi|_{\mathbb{P}^1}$  is its normalization morphism. We finished the proof.

**Theorem 3.4.** *There exist lines on the moduli space  $M$ . For any line  $\ell \subset M$ , there is a line  $\mathbb{P}^1 \subset P$  such that  $\Phi(\mathbb{P}^1) = \ell$ .*

*Proof.* The existence is just Lemma 3.3. For any line  $\ell$  on  $M$ , let  $\phi : \mathbb{P}^1 \rightarrow \ell \subset M$  be its normalization. Let  $E$  be the vector bundle on  $C \times \mathbb{P}^1$  that defines the morphism  $\phi$ . Then we have  $\Delta(E) = \phi^*(-K_M) = -K_M \cdot \ell = 2$ . Using the equality (2.2),

$$(3.6) \quad r \sum_{i=1}^n c_2(F'_i) + r \sum_{i=1}^{n-1} (\mu(E) - \mu(E_i))(\alpha_i - \alpha_{i+1})rk(E_i) = 1.$$

Then we must have that  $n = 2$ ,  $\alpha_1 = 1$  ( $E$  is chosen so that  $\alpha_n = 0$ ), and  $c_2(F'_1) = c_2(F'_2) = 0$ . By Lemma 2.1, there are vector bundles  $V_1, V_2$  on  $C$  such that  $F_1 \otimes \pi^* \mathcal{O}_{\mathbb{P}^1}(-1) = F'_1 = f^*V_1$ ,  $F_2 = F'_2 = f^*V_2$ . Thus  $E$  satisfies

$$0 \rightarrow f^*V_1 \otimes \pi^* \mathcal{O}_{\mathbb{P}^1}(1) \rightarrow E \rightarrow f^*V_2 \rightarrow 0$$

where, by (3.6),  $V_1, V_2$  must have rank  $r_1, r_2$  and degree  $d_1, d_2$  satisfying (3.1). Then, by Lemma 3.1,  $V_1$  and  $V_2$  must be stable bundles satisfying

$$\det(V_1) \otimes \det(V_2) = \mathcal{L}$$

since  $E|_{C \times \{x\}}$  are stable ( $x \in \mathbb{P}^1$ ) with determinant  $\mathcal{L}$ . Thus  $\phi : \mathbb{P}^1 \rightarrow M$  factors through  $\Phi : P \rightarrow M$ . We are done.

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