

## Meromorphic solutions of higher order Briot–Bouquet differential equations

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### *Abstract*

For differential equations  $P(y^{(k)}, y) = 0$ , where  $P$  is a polynomial, we prove that all meromorphic solutions having at least one pole are elliptic functions, possibly degenerate.

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### 1. Introduction

According to a theorem of Weierstrass, meromorphic functions  $y$  in the complex plane  $\mathbb{C}$  that satisfy an algebraic addition theorem

$$Q(y(z + \zeta), y(z), y(\zeta)) \equiv 0, \quad \text{where } Q \neq 0 \text{ is a polynomial,} \quad (1.1)$$

are elliptic functions, possibly degenerate [17, 1].

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More precisely, let us denote by  $W$  the class of meromorphic functions in  $\mathbb{C}$  that consists of doubly periodic functions, rational functions and functions of the form  $R(e^{az})$  where  $R$  is rational and  $a \in \mathbb{C}$ . Then each function  $y \in W$  satisfies an identity of the form (1.1), and conversely, every meromorphic function<sup>1</sup> that satisfies such an identity belongs to  $W$ .

One way to prove this result is to differentiate (1.1) with respect to  $\zeta$  and then set  $\zeta = 0$ . Then we obtain a Briot–Bouquet differential equation

$$P(y', y) = 0.$$

The fact that every meromorphic solution of such an equation belongs to  $W$  was known to Abel and Liouville, but probably it was stated for the first time in the work of Briot and Bouquet [5, 6].

Here we consider meromorphic solutions of higher order Briot–Bouquet equations

$$P(y^{(k)}, y) = 0, \quad \text{where } P \text{ is a polynomial.} \quad (1.2)$$

Picard [18] proved that for  $k = 2$ , all meromorphic solutions belong to the class  $W$ . This work was one of the first applications of the famous Picard’s theorems on omitted values.

In the end of 1970-s Hille [12, 13, 14, 15] considered meromorphic solutions of (1.2) for arbitrary  $k$ . The result of Picard was already forgotten, and Hille stated it as a conjecture. Then Bank and Kaufman [4] gave another proof of Picard’s theorem.

These investigations were continued in [8]. To state the main results from [8] we assume without loss of generality that the polynomial  $P$  in (1.2) is irreducible. Let  $F$  denote the compact Riemann surface defined by the equation

$$P(p, q) = 0. \quad (1.3)$$

Then every meromorphic solution  $y$  of (1.2) defines a holomorphic map  $f : \mathbb{C} \rightarrow F$ . According to another theorem of Picard, a Riemann surface which admits a non-constant holomorphic map from  $\mathbb{C}$  has to be of genus 0 or 1, ([19], see also [2]). The following theorems were proved in [8]:

**THEOREM A.** *If  $F$  is of genus 1, then every meromorphic solution of (1.2) is an elliptic function.*

**THEOREM B.** *If  $k$  is odd, then every meromorphic solution of (1.2) having at least one pole, belongs to the class  $W$ .*

The main result of the present paper is the extension of Theorem B to the case of even  $k$ .

**THEOREM 1.** *If  $y$  is a meromorphic solution of an equation (1.2) and  $y$  has at least one pole, then  $y \in W$ .*

This can be restated in the following way. *Let  $y$  be a meromorphic function in the plane which is not entire and does not belong to  $W$ . Then  $y$  and  $y^{(k)}$  are algebraically independent.*

It is easy to see that for every function  $y$  of class  $W$  and every natural integer  $k$  there exists an equation of the form (1.2) which  $y$  satisfies.

<sup>1</sup> A “meromorphic function” in this paper means a function meromorphic in the complex plane, unless some other domain is specified. See [17, 20] for discussion of the equation (1.1) in more general classes of functions.

It is not true that all meromorphic solutions of higher order Briot–Bouquet equations belong to  $W$ , a simple counterexample is  $y''' = y$ . We don't know whether non-linear irreducible counterexamples exist.

In the process of proving of Theorem 1 we will establish an estimate of the degrees of possible meromorphic solutions in terms of the polynomials  $P$ . Here by degree of a function of class  $W$  we mean the degree of a rational function  $y$ , or the degree of  $R$  in  $y(z) = R(e^{az})$ , or the number of poles in the fundamental parallelogram of an elliptic function  $y$ . Thus our result permits in principle the determination of all meromorphic solutions having at least one pole of a given equation (1.2).

Our method of proof is based on the so-called “finiteness property” of certain autonomous differential equations: there are only finitely many formal Laurent series with a pole at zero that satisfy these equations. The idea seems to occur for the first time in [12, p. 274] but the argument given there contains a mistake. This mistake was corrected in [8]. Later the same method was applied in [7] and [10] to study meromorphic solutions of other differential equations.

## 2. Preliminaries

We will use the following refined version of Wiman–Valiron theory which is due to Bergweiler, Rippon and Stallard.

Let  $y$  be a meromorphic function and  $G$  a component of the set  $\{z : |y(z)| > M\}$  which contains no poles (so  $G$  is unbounded). Set

$$M(r) = M(r, G, y) = \max\{|y(z)| : |z| = r, z \in G\},$$

and

$$a(r) = d \log M(r) / d \log r = r M'(r) / M(r). \quad (2.1)$$

This derivative exists for all  $r$  except possibly a discrete set. According to a theorem of Fuchs [11],

$$a(r) \rightarrow \infty, \quad r \rightarrow \infty,$$

unless the singularity of  $y$  at  $\infty$  is a pole. For every  $r > r_0 = \inf\{|z| : z \in G\}$  we choose a point  $z_r$  with the properties  $|z| = r$ ,  $|y(z_r)| = M(r)$ .

**THEOREM C.** *For every  $\tau > 1/2$ , there exists a set  $E \subset [r_0, +\infty)$  of finite logarithmic measure, such that for  $r \in [r_0, \infty) \setminus E$ , the disk*

$$D_r = \{z : |z - z_r| < r a^{-\tau}(r)\}$$

*is contained in  $G$  and we have*

$$y^{(k)}(z) = \left(\frac{a(r)}{z}\right)^k \left(\frac{z}{z_r}\right)^{a(r)} y(z)(1 + o(1)), \quad r \rightarrow \infty, \quad z \in D_r. \quad (2.2)$$

When  $y$  is entire, this is a classical theorem of Wiman. Wiman's proof used power series, so it cannot be extended to the situation when  $y$  is not entire. A more flexible proof, not using power series is due to Macintyre [16]; it applies, for example to functions analytic and unbounded in  $|z| > r_0$ . The final result stated above was recently established in [3].

## 3. Proof of Theorem 1

In what follows, we always assume that the polynomial  $P$  in (1.2) is irreducible.

To state a result of [8] which we will need, we introduce the following notation. Let  $A$  be the field of meromorphic functions on  $F$ . The elements of  $A$  can be represented as rational functions  $R(p, q)$  whose denominators are co-prime with  $P$ . In particular,  $p$  and  $q$  in (1.3) are elements of  $A$ . For  $\alpha \in A$  and a point  $x \in F$ , we denote by  $\text{ord}_x \alpha$  the order of  $\alpha$  at the point  $x$ . Thus if  $\alpha(x) = 0$  then  $\text{ord}_x \alpha$  is the multiplicity of the zero  $x$  of  $\alpha$ , if  $\alpha(x) = \infty$  then  $-\text{ord}_x \alpha$  is the multiplicity of the pole, and  $\text{ord}_x \alpha = 0$  at all other points  $x \in F$ .

Let  $I \subset F$  be the set of poles of  $q$ . For  $x \in I$  we set  $\kappa(x) = \text{ord}_x p / \text{ord}_x q$ .

**THEOREM D.** *Suppose that an irreducible equation (1.2) has a transcendental meromorphic solution  $y$ . Let  $f : \mathbb{C} \rightarrow F$  be the holomorphic map defined by  $z \mapsto (y^{(k)}(z), y(z))$ . Then:*

- a) *Every pole of  $p$  belongs to  $I$ .*
- b) *For every  $x \in I$ , the number  $\kappa(x)$  is either 1 or  $1 + k/n$ , where  $n$  is a positive integer.*
- c) *If  $\kappa(x) = 1 + k/n$  for some  $x \in I$ , then the equation  $f(z) = x$  has infinitely many solutions, and all these solutions are poles of order  $n$  of  $y$ .*
- d) *If  $\kappa(x) = 1$  for some  $x \in I$ , then the equation  $f(z) = x$  has no solutions.*

Picard's theorem on omitted values implies that  $\kappa(x) = 1$  can happen for at most two points  $x \in I$ . For the convenience of the reader we include a proof of Theorem D in the Appendix.

The numbers  $\kappa(x)$  can be easily determined from the Newton polygon of  $P$ . Thus Theorem D gives several effective necessary conditions for the equation (1.2) to have meromorphic or entire solutions.

*Remark.* The proof of Theorem D in [8] uses Theorem C which was stated in [8] but not proved. One can also give an alternative proof of Theorem D, using Nevanlinna theory instead of Theorem C, by the arguments similar to those in [9].

**LEMMA 1.** *Suppose that  $y$  is a meromorphic solution of (1.2). If  $\kappa(x) = 1$  for some  $x \in I$  then  $y$  has order one, normal type.*

*Proof.* In view of Theorem A and Theorem D, d), we conclude that the genus of  $F$  is zero. Therefore, we can find  $t = R(p, q)$  in  $A$  which has a single simple pole at  $x$ . Then  $w = R(y^{(k)}, y)$  is an entire function by Theorem D, d). As  $t$  has a simple pole at  $x$ , the element  $1/t \in A$  is a local parameter at  $x$ , and in a neighborhood of  $x$  we have

$$q = at^m + \dots \quad \text{and} \quad p = bt^m + \dots,$$

where  $-m = \text{ord}_x p = \text{ord}_x q$  as  $\kappa(x) = 1$ , and the dots stand for the terms of degree smaller than  $m$ . Substituting  $p = y^{(k)}$  and  $q = y$  and differentiating the first equation  $k$  times we obtain for  $w$  a differential equation of the form

$$\frac{d^k}{dz^k} w^m + \dots = (b/a)w^m, \tag{3.1}$$

where the dots stand for the terms of degree smaller than  $m$ . Now we use a standard argument of Wiman–Valiron theory. Applying Theorem C to the entire function  $w^m$ , with  $G = \mathbb{C}$  and  $z = z_r$ , we compare the asymptotic relations (2.2) and (3.1) to conclude

that  $a(r) \sim cr$ , where  $c \neq 0$  is a constant. This implies  $\log M(r) \sim cr$ , which means that  $w$  is of order 1, normal type. So  $y$  is also of order 1, normal type, because  $w$  and  $y$  satisfy a polynomial relation of the form  $P(y, w) = 0$ , where  $P$  is a polynomial with constant coefficients.

LEMMA 2. *Suppose that  $y$  is a meromorphic solution of (1.2). If  $\kappa(x_1) = \kappa(x_2) = 1$  for two different points  $x_1$  and  $x_2$  in  $I$ , then  $y$  is a rational function of  $e^{az}$ , where  $a \in \mathbb{C}$ .*

*Proof.* As in the previous lemma, the genus of  $F$  is zero. Let  $t = R(p, q)$  be a function in  $A$  with a single simple pole at  $x_1$  and a single simple zero at  $x_2$ . Then  $w = R(y^{(k)}, y)$  is an entire function of order 1, normal type (by Lemma 1) omitting 0 and  $\infty$  (by Theorem D, d). So  $w(z) = e^{az}$  for some  $a \in \mathbb{C}$ . Since  $t$  is a generator of  $A$ , by Lüroth's theorem, both  $p$  and  $q$  are rational functions of  $t$  and the lemma follows.

LEMMA 3. *Suppose that  $k$  is even, the Riemann surface  $F$  is of genus zero,  $y$  is a non-constant meromorphic solution of (1.2), and  $\kappa(x) = 1$  for at most one point  $x \in I$ . Then the Abelian differential  $pdq$  is exact, that is  $pdq = ds$  for some  $s \in A$ .*

*Proof.* It is sufficient to show that under the assumptions of Lemma 3, the integral of  $pdq$  over every closed path in  $F$  is zero. As  $F$  is of genus zero, we only have to consider residues of  $pdq$ . By Theorem D, a), all poles of our differential belong to the set  $I$ .

Consider first a point  $x \in I$  with  $\kappa(x) = 1 + k/n$ . By Theorem D, c), we have a meromorphic solution  $y$  with a pole of order  $n$  at zero, such that the corresponding function  $f$  has the property  $f(0) = x$ . In a neighborhood of  $x$  we have a Puiseux expansion

$$pdq = \sum_{j=J}^{\infty} c_j q^{-j/m} dq$$

with some positive integer  $m$ . We substitute  $p = y^{(k)}$ ,  $q = y$  and obtain

$$y^{(k)}y' = \sum_{j \neq -m} c_j y^{-j/m} y' + r y^{-1} y', \quad (3.2)$$

where  $r = c_m$  is the residue of  $pdq$  at  $x$ . Now we notice that for even  $k$ ,

$$y^{(k)}y' = \frac{d}{dz} \left\{ y^{(k-1)}y' - y^{(k-2)}y'' + \dots \pm \frac{1}{2}(y^{(k/2)})^2 \right\}. \quad (3.3)$$

Using this, we integrate (3.2) over a small circle around 0 in the  $z$ -plane, described  $m$  times anticlockwise. We obtain that  $2\pi i m r = 0$ , so  $r = 0$ .

Now we consider a point  $x \in I$  with  $\kappa(x) = 1$ . By the assumptions of the lemma, there is at most one such point. Then the residue of  $pdq$  at  $x$  is zero because the sum of all residues of a differential on a compact Riemann surface is zero. This proves the lemma.

Using (3.3) and Lemma 3, if the assumptions of Lemma 3 are satisfied, we can rewrite our differential equation

$$y^{(k)} = p(y) \quad (3.4)$$

as

$$y^{(k-1)}y' - y^{(k-2)}y'' + \dots \pm \frac{1}{2}(y^{(k/2)})^2 = s(y) + c, \quad (3.5)$$

where  $s \in A$  is an integral of the exact differential  $pdq$ , and  $c$  is a constant that depends on the particular solution  $y$ . We have the relation  $p(y) = ds/dy$ .

LEMMA 4. *For a given differential equation of the form (3.5), there are only finitely many formal Laurent series with a pole at zero that satisfy the equation.*

*Proof.* By making a linear change of the independent variable, we may assume that

$$s(y) = y^{2+k/n} + \dots$$

Then

$$p(y) = (2 + k/n)y^{1+k/n} + \dots$$

Now we substitute a Laurent series with undetermined coefficients

$$y(z) = \sum_{j=0}^{\infty} c_j z^{-n+j} \quad (3.6)$$

to the equation (3.4), which is a consequence of (3.5). With even  $k$  we have:

$$\begin{aligned} y^{(k)}(z) &= \frac{(k+n-1)!}{(n-1)!} c_0 z^{-n-k} + \frac{(k+n-2)!}{(n-2)!} c_1 z^{-n-k-1} \\ &+ \dots + k! c_{n-1} z^{-k-1} \\ &+ k! c_{n+k} + \frac{(k+1)!}{1!} c_{n+k+1} z + \frac{(k+1)!}{2!} c_{n+k+2} z^2 + \dots; \end{aligned}$$

and

$$\begin{aligned} y^{1+k/n}(z) &= z^{-k-n} \left[ c_0^{1+k/n} + \left( (1+k/n)c_0^{k/n} c_1 + (\dots)_1 \right) z \right. \\ &+ \left( (1+k/n)c_0^{k/n} c_2 + (\dots)_2 \right) z^2 + \dots \\ &\left. + \left( (1+k/n)c_0^{k/n} c_j + (\dots)_j \right) z^j + \dots \right]. \end{aligned}$$

In the last formula, the symbol  $(\dots)_j$  stands for a finite sum of products of the coefficients of the series (3.6) which contain no coefficients  $c_i$  with  $i \geq j$ . Substituting to (3.4) and comparing the coefficients at  $z^{-k-n}$  we obtain

$$\frac{(k+n-1)!}{(n-1)!} c_0 = (2+k/n)c_0^{1+k/n}.$$

This equation has finitely many non-zero roots  $c_0$ . We have

$$(2+k/n)c_0^{k/n} = \frac{(k+n-1)!}{(n-1)!}. \quad (3.7)$$

Further we obtain

$$\frac{(k+n-2)!}{(n-1)!} c_1 = (2+k/n)c_0^{k/n} (1+k/n)c_1 + (\dots)_1. \quad (3.8)$$

Substituting here the value of  $(2+k/n)c_0^{k/n}$  from (3.7), we see that the coefficient at  $c_1$  is different from zero, because

$$\frac{(k+n-2)!}{(n-2)!} \neq \frac{(k+n-1)!}{(n-1)!} \frac{k+n}{n}.$$

Thus  $c_1$  is uniquely determined from (3.8). The situation is analogous for all coefficients

$c_j$  with  $j < n + k$ . These coefficients are uniquely determined from the equation (3.4) once  $c_0$  is chosen.

Now we consider the coefficients  $c_{n+k+j}$  with  $j \geq 0$ . We have

$$\frac{(k+j)!}{j!} c_{n+k+j} = (2+k/n)c_0^{k/n} \frac{n+k}{n} c_{n+k+j} + (\dots)_{n+k+j}.$$

Again we substitute the value of  $(2+k/n)c_0^{k/n}$  from (3.7) and conclude that the coefficient at  $c_{n+k+j}$  equals

$$\frac{(k+j)!}{j!} - \frac{(k+n)!}{n!}.$$

This coefficient is zero for a single value of  $j$ , namely  $j = n$ . Thus  $c_{2n+k}$  cannot be determined from the equation (3.4), but once  $c_0$  and  $c_{2n+k}$  are chosen, the rest of the coefficients of the series (3.6) are determined uniquely.

To determine  $c_{2n+k}$  we invoke the equation (3.5):

$$y^{(k-1)}y' - y^{(k-2)}y'' + \dots \pm \frac{1}{2}(y^{(k/2)})^2 = y^{2+k/n} + \dots, \quad (3.9)$$

where the dots stand for the terms of lower degrees. We have

$$\begin{aligned} y'(z) &= -nc_0z^{-n-1} + \dots + c_{2n+k}(n+k)z^{n+k-1} + \dots, \\ y'' &= n(n+1)c_0z^{-n-2} + \dots + c_{2n+k}(n+k)(n+k-1)z^{n+k-2} + \dots, \\ &\dots \dots, \\ y^{(k-1)} &= -n(n+1)\dots(n+k-2)c_0z^{-n-k+1} + \dots \\ &\quad + c_{2n+k}(n+k)(n+k-1)\dots(n+2)z^{n+1} + \dots \end{aligned}$$

Substituting this to our equation (3.9) we write the condition that the constant terms in both sides of (3.9) are equal. This condition is a polynomial equation in  $c, c_0, \dots, c_{2n+k}$  (it is linear with respect to  $c_{2n+k}$ ) and the coefficient at  $c_{2n+k}$  in this equation equals

$$c_0 \sum_{m=0}^{k-1} \frac{(n+m)!(n+k)!}{(n+m+1)!(n-1)!}.$$

This expression is not zero because each term of the sum is positive. Thus  $c_{2n+k}$  is determined uniquely, and this completes the proof of the lemma.

*Remark.* It follows from this proof that the only meromorphic solutions of the differential equations

$$y^{(k)} = y^m$$

are exponential polynomials when  $m = 1$  and functions  $c(z - z_0)^{-n}$  where  $m = 1 + k/n$ ,  $z_0 \in \mathbb{C}$  and  $c$  is an appropriate constant.

The rest of the proof of Theorem 1 is a repetition of the argument from [8].

By Theorems A and B, we may assume that  $F$  is of genus zero, and  $k$  is even. In view of Lemmas 2 and 3, it is enough to consider the case that the differential  $pdq$  is exact. Then every solution of (1.2) also satisfies (3.5) with some constant  $c$ .

Assume that  $y$  is a transcendental meromorphic solution of (3.5), having at least one pole. By Theorem D, d), c),  $y$  has infinitely many poles  $z_j$ ,  $j = 1, 2, 3, \dots$ . The functions  $y(z - z_j)$  satisfy the assumptions of Lemma 4, therefore some of them are equal. We

conclude that  $y$  is a periodic function. By making a linear change of the independent variable we may assume that the smallest period is  $2\pi i$ .

Consider the strip  $D = \{z : 0 \leq \Im z < 2\pi\}$ .

*Case 1.*  $y$  has infinitely many poles in  $D$ . Applying Lemma 4 again, we conclude that  $y$  has a period in  $D$ , so  $y$  is doubly periodic.

*Case 2.*  $y$  is bounded in  $D \cap \{z : |\Re z| > C\}$  for some  $C > 0$ . Since  $y$  is  $2\pi i$ -periodic, we have  $y(z) = R(e^z)$  where  $R$  is meromorphic in  $\mathbb{C}^*$ . As  $R$  is bounded in some neighborhoods of 0 and  $\infty$ , we conclude that  $R$  is rational.

*Case 3.*  $y$  has finitely many poles in  $D$  and is unbounded in  $D \cap \{z : |\Re z| > C\}$  for every  $C > 0$ . As  $y$  is  $2\pi i$ -periodic, we write  $y = R(e^z)$  where  $R$  is meromorphic in  $\mathbb{C}^*$ . Now  $R$  has finitely many poles and is unbounded either in a neighborhood of 0 or in a neighborhood of  $\infty$ . Suppose that it is unbounded in a neighborhood of  $\infty$ . Then the set  $\{z : |R(z)| > M\}$ , where  $M$  is large enough has an unbounded component  $G$  containing no poles of  $R$ . On this component  $G$ , the function  $R$  satisfies a differential equation

$$\sum_{m=1}^k \binom{k}{m} w^m \frac{d^m R}{dw^m} = (c + o(1))R^\kappa,$$

where  $c$  is some constant and  $\kappa = 1$  or  $\kappa$  is one of the numbers  $1 + k/n$  from Theorem D. Applying Theorem C in  $G$  as we did in the proof of Lemma 1, we obtain that  $\kappa = 1$  and that  $R$  has a pole at infinity. Similar argument works for the singularity at 0, so  $R$  is rational, and this completes the proof.

#### 4. Appendix

*Proof of Theorem D.* Statement a) is a special case of [9, Th. 10], but we give a simple independent proof using Theorem C. Proving it by contradiction, suppose that  $p$  has a pole at a point  $x \in F$  such that  $q(x) = b \in \mathbb{C}$ . Let  $D_\epsilon \subset \mathbb{C}$  be a disk of radius  $\epsilon$  centered at  $b$ , and  $V_\epsilon \subset F$  a component of  $q^{-1}(D_\epsilon)$  containing  $x$ . We assume that the disk  $D_\epsilon$  is so small that  $V_\epsilon$  contains no other poles of  $p$ , except the pole at  $x$ . Let  $y$  be a meromorphic solution of our equation (1.2) and consider the map  $f : \mathbb{C} \rightarrow F$  given by  $f(z) = (y^{(k)}(z), y(z))$ . The image of this map is dense in  $F$  and the point  $x$  is evidently omitted by  $f$ . Let  $G_\epsilon \subset \mathbb{C}$  be a component of the preimage  $f^{-1}(D_\epsilon)$ . Consider the meromorphic function  $w = 1/(y - a)$ . It is holomorphic and unbounded in  $G_\epsilon$ , and  $|w(z)| = 1/\epsilon$  for  $z \in \partial G_\epsilon$ . We conclude that  $G_\epsilon$  is unbounded. Now we apply Theorem C to  $w$  in  $G_\epsilon$ .

Set  $M(r) = \max\{|w(z)| : |z| = r, z \in G_\epsilon\}$  and let  $a(r)$  be defined as in (2.1). For any  $r > r_0 = \inf\{|z| : z \in G_\epsilon\}$ , we choose a point  $z_r$  with  $|z| = r$  and  $|w(z_r)| = M(r)$ . By Theorem C, we have

$$|w^{(j)}(z_r)| = \left(\frac{a(r)}{r}\right)^j |w(z_r)|(1 + o(1)) = \frac{a(r)^j}{r^j} M(r)(1 + o(1)) \quad (4.1)$$

where  $r \rightarrow \infty$  outside a set of finite logarithmic measure.

From Lemma 6.10 of [3], we have for every  $\beta > 0$ ,

$$(a(r))^\beta = o(M(r)), \quad (4.2)$$

as  $r \rightarrow \infty$  outside a set of finite logarithmic measure.



Differentiating the equation  $y = 1/w + a$  we obtain

$$y^{(k)} = \frac{1}{w} Q \left( \frac{w'}{w}, \frac{w''}{w}, \dots, \frac{w^{(k)}}{w} \right), \quad (4.3)$$

where  $Q$  is a polynomial. On the other hand, from the Puiseux expansion at the point  $x$  we obtain

$$y^{(k)} = (c + o(1))w^\alpha, \quad w \rightarrow \infty, \quad (4.4)$$

where  $c \neq 0$  is a constant and  $\alpha > 0$ . Combining (4.3) and (4.4) we obtain

$$Q \left( \frac{w'}{w}, \dots, \frac{w^{(k)}}{w} \right) = (c + o(1))w^{1+\alpha}.$$

Inserting to this asymptotic relation  $z = z_r$  and using (4.1) and (4.2) we obtain a contradiction which proves a).

Consider now a point  $x \in I$ . From the Puiseux expansion we obtain

$$y^{(k)} = (c + o(1))y^{\kappa(x)}, \quad y \rightarrow \infty. \quad (4.5)$$

If  $x$  has a preimage under the map  $f$ , then this preimage is a pole  $z_0$  of  $y$ . If this pole is of order  $n$  we have  $y(z) \sim c_1(z - z_0)^{-n}$  and  $y^{(k)}(z) \sim c_2(z - z_0)^{-n-k}$  as  $z \rightarrow z_0$ . Substituting to (4.5) we conclude that  $\kappa(x) = 1 + k/n$ . Thus if  $x$  has at least one preimage under  $f$  then  $\kappa(x) = 1 + k/n$  with a positive integer  $n$ , and every preimage of  $x$  is a pole of order  $n$  of  $y$ . This implies d).

Now suppose that a point  $x \in I$  has only finitely many preimages. Let  $U_\epsilon = \{z \in \mathbb{C} : |z| > 1/\epsilon\}$  be a neighborhood of infinity, and  $V_\epsilon \subset F$  a component of the preimage  $q^{-1}(U_\epsilon)$ . We may assume that  $\epsilon > 0$  is so small that  $V_\epsilon$  does not contain other poles of  $q$  except  $x$ . Let  $G_\epsilon$  be a component of the preimage  $f^{-1}(V_\epsilon)$ . If  $G_\epsilon$  is bounded then  $f : G_\epsilon \rightarrow U_\epsilon$  is a ramified covering of a finite degree, and  $f$  takes the value  $x$  somewhere in  $G$ . As we assume that  $f$  is transcendental but  $x$  has only finitely many preimages, there should exist an unbounded component  $G_\epsilon$ . Choosing a smaller  $\epsilon$  if necessary, we achieve that this unbounded component  $G_\epsilon$  contains no  $f$ -preimages of  $x$ . Then  $y$  is a holomorphic function in  $G_\epsilon$ ,  $|y(z)| = 1/\epsilon$ ,  $z \in \partial G_\epsilon$ , and  $y$  is unbounded in  $G_\epsilon$ . Applying Theorem C to the function  $y$  in  $G_\epsilon$  we obtain the asymptotic relation (2.2). Putting  $z = z_r$  in this relation, taking (4.2) into account, and comparing with (4.5) we conclude that  $\kappa = 1$  in (4.5). This implies c). Thus in any case  $\kappa = 1 + k/n$  or  $\kappa = 1$ , which proves b).

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