Yamabe flow and its soliton on manifolds with boundary

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Joint work with Jinwoo Shin at Korea Institute for Advanced Study (KIAS)

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(YamabePDE)

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The Yamabe problem is to find $0 < u \in C^{\infty}(M)$ with $R_g \equiv c$.

Yamabe problem

The Yamabe constant of (M, g_0) is

$$Y(M,g_0) = \inf\{E_{g_0}(u) : 0 < u \in C^{\infty}(M)\}.$$

Here

$$E_{g_0}(u) = \frac{\int_M \frac{4(n-1)}{n-2} |\nabla_{g_0} u|^2 + R_{g_0} u^2 dV_{g_0}}{\left(\int_M u^{\frac{2n}{n-2}} dV_{g_0}\right)^{\frac{n-2}{n}}} = \frac{\int_M R_g dV_g}{\left(\int_M dV_g\right)^{\frac{n-2}{n}}}$$

is the Yamabe energy, where $g = u^{\frac{4}{n-2}}g_0$.

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is the Yamabe energy, where $g = u^{\frac{4}{n-2}}g_0$.

Fact: If *u* is a positive minimizer, i.e. $E_{g_0}(u) = Y(M, g_0)$, then *u* solves (YamabePDE).

Proof:
$$\frac{d}{dt}E_{g_0}(u+tv) = 0$$
 for all $v \in C^{\infty}(M)$.

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The Yamabe problem was solved by Aubin, Trudinger, and Schoen.

Hamilton introduced the Yamabe flow:

$$\frac{\partial}{\partial t}g(t) = -(R_{g(t)} - \overline{R}_{g(t)})g(t), \quad g(0) = g_0, \qquad (YF)$$

where $\overline{R}_{g(t)}$ is the average of $R_{g(t)}$:

$$\overline{R}_{g(t)} = \frac{\int_{M} R_{g(t)} dV_{g(t)}}{\int_{M} dV_{g(t)}}.$$

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Hamilton proved the long time existence of (YF). Also, he proved the convergence of (YF) when $Y(M, g_0) \leq 0$, i.e. $g(t) \rightarrow g_{\infty}$ as $t \rightarrow \infty$ for some metric g_{∞} with $R_{g_{\infty}} \equiv c$.

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When $Y(M, g_0) > 0$, the convergence of (YF) was studied by Brendle, Chow, Schwetlick and Struwe, and Ye.

If g_{∞} is an integrable cirtical point of Yamabe energy, then (YF) converges exponentially to g_{∞} , i.e.

$$\|g(t)-g_{\infty}\|_{C^{2,lpha}(M,g_{\infty})}\leq Ce^{-\delta t}$$

for some $\delta > 0$.

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for some $\delta > 0$.

Fact: g_{∞} is an integrable critical point of Yamabe energy if ker $\mathcal{L}_{\infty} = \{0\}$, where

$$\mathcal{L}_{\infty} v = (n-1)\Delta_{g_{\infty}} v + R_{g_{\infty}} v$$

is the linearized Yamabe operator of g_{∞} .

Theorem (Carlotto-Chodosh-Rubinstein)

There exists g_{∞} such that (YF) does not converge exponentially to g_{∞} . More precisely,

$$C^{-1}(1+t)^{-rac{1}{p-2}} \leq \|g(t) - g_{\infty}\|_{C^{2,lpha}(M,g_{\infty})} \leq C(1+t)^{-rac{1}{p-2}}$$

for p = 3 and for some $p \ge 3$.

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Fact: g_{∞} satisfies AS_3 if there exists $v \neq 0$ such that

$$\mathcal{L}_\infty v = (n-1) \Delta_{g_\infty} v + R_{g_\infty} v = 0 \quad ext{and} \quad R_{g_\infty} \int_M v^3 dV_{g_\infty}
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Goal: Find g_{∞} with $R_{g_{\infty}} \equiv c > 0$ such that $\int_{M}v^{3}dV_{g_{\infty}} \neq 0.$

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 $(\mathbb{S}^{n}_{+}, g_{\mathbb{S}^{n}_{+}})$ is an example of (i), and $(D^{n}, g_{\mathsf{flat}})$ is an example of (ii).

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The Yamabe probelm with boundary was later studied by Almaraz, S. Chen, Marques, Mayer and Ndiaye, etc.

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To study (I), we consider the Yamabe flow with boundary:

$$\begin{cases} \frac{\partial}{\partial t}g(t) = -(R_{g(t)} - \overline{R}_{g(t)})g(t) \text{ in } M, \\ H_{g(t)} = 0 \text{ on } \partial M, g(0) = g_0. \end{cases}$$
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To study (II), we consider the conformal mean curvature flow:

$$\begin{cases} \frac{\partial}{\partial t}g(t) = -(H_{g(t)} - \overline{H}_{g(t)})g(t) \text{ on } \partial M, \\ R_{g(t)} = 0 \text{ in } M, g(0) = g_0. \end{cases}$$
(CMCF)

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- When the Yamabe constant is positive, the convergence of (YFB) is proved by Almaraz-Sun, and the convergence of (CMCF) is proved by Almaraz.

Yamabe flow with boundary

Theorem (H.-Shin)

If g_{∞} is an integrable cirtical point of Yamabe energy, then (YFB) (respectively (CMCF)) converges exponentially to g_{∞} , i.e.

$$\|g(t)-g_{\infty}\|_{C^{2,\alpha}(M,g_{\infty})}\leq Ce^{-\delta t}$$

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for p = 3.

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For a Riemannian manifold (M, g) with boundary ∂M , the **Dirichlet-to-Neumann map** $DN : C^{\infty}(\partial M) \to C^{\infty}(\partial M)$ is given by

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Theorem (H.-Shin)

Along the unnormalized CMCF

$$rac{\partial}{\partial t}g(t)=-H_{g(t)}g(t) \ \text{on} \ \partial M \quad \text{and} \quad R_{g(t)}=0 \ \text{in} \ M,$$

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Using CMCF, we also obtained some estimates of the first nonzero Steklov eigenvalue of (M, g).

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Yamabe soliton-the flow point of view

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More precisely, the **Yamabe soliton** is the solution g(t) of the (unnormalized) Yamabe flow:

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$$g(t) = \sigma(t)\psi_t^*(g_0)$$

where $\sigma : [0, \infty) \to (0, \infty)$ with $\sigma(0) = 1$, and $\psi_t : M \to M$ is a family of diffeomorphisms with $\psi_0 = id_M$.

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This is Yamabe soliton from flow point of view.

We can define the Yamabe soliton from equation point of view.

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Differentiating $g(t) = \sigma(t)\psi_t^*(g_0)$ with respect to t gives

$$-R_{g(t)}g(t) = \frac{\partial}{\partial t}g(t) = \dot{\sigma}(t)\psi_t^*(g_0) + \sigma(t)\frac{\partial}{\partial t}\psi_t^*(g_0).$$

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Evaluating it at t=0, using $\psi_0=\mathit{id}_M$ and $\sigma(0)=1$, we get

$$-R_{g_0}g_0=\dot{\sigma}(0)g_0+L_Xg_0,$$

where L_X is the Lie derivative with respect to X, and X is the vector field generated by ψ_t .

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If we write $\lambda = -\dot{\sigma}(0)$, then

$$(\lambda - R_{g_0})g_0 = L_X g_0.$$

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If we write $\lambda = -\dot{\sigma}(0)$, then

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If the vector field is gradient, i.e. $X = \frac{1}{2} \nabla_{g_0} f$ for some function f, then

$$(\lambda - R_{g_0})g_0 = \nabla_{g_0}^2 f,$$

where $\nabla_{g_0}^2 f$ is the Hessian of f. This is called **gradient Yamabe** soliton.

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Fact: The Yamabe soliton from the flow point of view is equivalent to the Yamabe soliton from the equation point of view.

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Theorem (di Cerbo-Disconzi)

Any compact Yamabe soliton (from flow point of view) must have constant scalar curvature.

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Theorem (Daskalopoulos-Sesum, Hsu)

Any compact, gradient Yamabe soliton (from equation point of view) must have constant scalar curvature.

Theorem (Daskalopoulos-Sesum)

Suppose that (M, g_0) is a gradient Yamabe soliton (from equation point of view) which is locally conformally flat and has positive sectional curvature. Then (M, g_0) has a warped-product structure.

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Yamabe soliton with boundary-the flow point of view

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 in M and $H_{g(t)} = 0$ on ∂M

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and

$$g(t) = \sigma(t)\psi_t^*(g_0)$$

where $\sigma : [0, \infty) \to (0, \infty)$ with $\sigma(0) = 1$, and $\psi_t : M \to M$ is a family of diffeomorphisms with $\psi_0 = id_M$.

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The **conformal mean curvature soliton** is the solution g(t) of the (unnormalized) conformal mean curvature flow:

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These are the Yamabe soliton with boundary and the conformal mean curvature soliton from flow point of view.

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From the flow point of view, we have

Theorem (Chen-H.)

Any compact Yamabe soliton with boundary must have constant scalar curvature in M (and vanishing mean curvature on ∂M).

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From the flow point of view, we have

Theorem (Chen-H.)

Any compact Yamabe soliton with boundary must have constant scalar curvature in M (and vanishing mean curvature on ∂M).

Theorem (Chen-H.)

Any compact conformal mean curvature soliton must have constant mean curvature on ∂M (and vanishing scalar curvature in M).

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Proof: Since the (unnormalized) Yamabe flow with boundary preserves conformal structure, $g(t) = u(t)^{\frac{4}{n-2}}g_0$.

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$$rac{\partial}{\partial t}u(t)=-rac{n-2}{4}R_{g(t)}u(t) ext{ in }M ext{ and } rac{\partial u(t)}{\partial
u_{g_0}}=0 ext{ on }\partial M.$$

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Therefore,

$$\begin{aligned} \frac{d}{dt} \left(\frac{\int_{M} R_{g(t)} dV_{g(t)}}{\left(\int_{M} dV_{g(t)}\right)^{\frac{n-2}{n}}} \right) &= \frac{d}{dt} E_{g_0}(u(t)) \\ &= -\frac{n-2}{2} \cdot \frac{\left(\int_{M} R_{g(t)}^2 dV_{g(t)}\right) \left(\int_{M} dV_{g(t)}\right) - \left(\int_{M} R_{g(t)} dV_{g(t)}\right)^2}{\left(\int_{M} dV_{g(t)}\right)^{\frac{n-2}{n}+1}} \end{aligned}$$

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Cauchy-Schwarz inequality
$$\Rightarrow \frac{d}{dt} \left(\frac{\int_M R_{g(t)} dV_{g(t)}}{\left(\int_M dV_{g(t)} \right)^{\frac{n-2}{n}}} \right) \leq 0$$
, and equality holds if and only if $R_{g(t)}$ is constant.

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On the other hand, if $g(t) = \sigma(t)\psi_t^*(g_0)$, we have

$$\frac{\int_{M} R_{g(t)} dV_{g(t)}}{\left(\int_{M} dV_{g(t)}\right)^{\frac{n-2}{n}}} = \frac{\int_{M} R_{\psi_{t}^{*}(g_{0})} dV_{\psi_{t}^{*}(g_{0})}}{\left(\int_{M} dV_{\psi_{t}^{*}(g_{0})}\right)^{\frac{n-2}{n}}} = \frac{\int_{M} R_{g_{0}} dV_{g_{0}}}{\left(\int_{M} dV_{g_{0}}\right)^{\frac{n-2}{n}}}.$$

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Goal: Define the Yamabe soliton with boundary and the conformal mean curvature soliton from equation point of view.

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The Yamabe soliton with boundary from equation point of view is defined as

$$(\lambda - R_{g_0})g_0 = L_X g_0$$
 in M and $H_{g_0} = 0, \langle X, \nu_{g_0} \rangle = 0$ on ∂M .

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The conformal mean curvature soliton from equation point of view is defined as

$$R_{g_0}=0 ext{ in } M ext{ and } (\lambda-H_{g_0})g_0=L_Xg_0, \langle X,
u_{g_0}
angle=0 ext{ on } \partial M.$$

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Theorem (H.-Shin)

The Yamabe soliton with boundary from flow point of view is equivalent to the Yamabe soliton with boundary from equation point of view.

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Example: Consider (\mathbb{R}^n_+, g_e) .

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Example: Consider (\mathbb{R}^n_+, g_e) . Then $R_{g_e} = 0$ in \mathbb{R}^n_+ and $H_{g_e} = 0$ on $\partial \mathbb{R}^n_+$. Also, $\nu_{g_e} = (0, 0, ..., 0, -1)$.

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Example: Consider (\mathbb{R}^n_+, g_e) . Then $R_{g_e} = 0$ in \mathbb{R}^n_+ and $H_{g_e} = 0$ on $\partial \mathbb{R}^n_+$. Also, $\nu_{g_e} = (0, 0, ..., 0, -1)$. Thus, if we choose $f = f(x_1, ..., x_n) = a_1 x_1 + \cdots + a_{n-1} x_{n-1}$, then $X = \frac{1}{2} \nabla_{g_e} f = (\frac{a_1}{2}, ..., \frac{a_{n-1}}{2}, 0)$

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$$\Rightarrow L_X g_e = \nabla^2_{g_e} f = 0 \quad \text{and} \quad \langle X, \nu_{g_e} \rangle = 0.$$

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Therefore, we have

$$-R_{g_e}g_e = L_Xg_e$$
 in \mathbb{R}^n_+ and $H_{g_e} = 0, \langle X, \nu_{g_e} \rangle = 0$ on $\partial \mathbb{R}^n_+$

i.e. (\mathbb{R}^n_+, g_e) is a (steady, nontrivial) gradient Yamabe soliton with boundary (from equation point of view).

Example: Consider (\mathbb{R}^n_+, g_e) . Then $R_{g_e} = 0$ in \mathbb{R}^n_+ and $H_{g_e} = 0$ on $\partial \mathbb{R}^n_+$. Also, $\nu_{g_e} = (0, 0, ..., 0, -1)$. Thus, if we choose $f = f(x_1, ..., x_n) = a_1x_1 + \cdots + a_{n-1}x_{n-1}$, then $X = \frac{1}{2}\nabla_{g_e}f = (\frac{a_1}{2}, ..., \frac{a_{n-1}}{2}, 0)$

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Therefore, we have

 $-R_{g_e}g_e = L_Xg_e$ in \mathbb{R}^n_+ and $H_{g_e} = 0, \langle X, \nu_{g_e} \rangle = 0$ on $\partial \mathbb{R}^n_+$

i.e. (\mathbb{R}^n_+, g_e) is a (steady, nontrivial) gradient Yamabe soliton with boundary (from equation point of view).

Similarly, (\mathbb{R}^n_+, g_e) is a (steady, nonotrivial) gradient conformal mean curvature soliton (from equation point of view).

Theorem (H.-Shin)

Any compact gradient Yamabe soliton with boundary from equation point of view must have constant scalar curvature in M (and vanishing mean curvature on ∂M).

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$$abla_{g_0}^2 f = (\lambda - R_{g_0})g_0 \text{ in } M \text{ and } \frac{\partial f}{\partial \nu_{g_0}} = 0 \text{ on } \partial M.$$

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Taking trace $\Rightarrow \Delta_{g_0} f = n(\lambda - R_{g_0})$ in M.

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Taking trace $\Rightarrow \Delta_{g_0} f = n(\lambda - R_{g_0})$ in *M*. Also, one has

$$(n-1)\Delta_{g_0}R_{g_0} = \frac{1}{2} \langle \nabla_{g_0}R_{g_0}, \nabla_{g_0}f \rangle + R_{g_0}(\lambda - R_{g_0}) \text{ in } M, \ \frac{\partial R_{g_0}}{\partial \nu_{g_0}} = 0 \text{ on } \partial M.$$

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Integrating the first equation over M, we get

$$(n-1)\int_M\Delta_{g_0}R_{g_0}=rac{1}{2}\int_M\langle
abla_{g_0}R_{g_0},
abla_{g_0}f
angle+\int_MR_{g_0}(\lambda-R_{g_0}),$$

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Proof: We get

$$0 = \left(1 - \frac{n}{2}\right) \int_{\mathcal{M}} R_{g_0}(\lambda - R_{g_0}). \tag{1}$$

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Proof: We get

$$0 = \left(1 - \frac{n}{2}\right) \int_{\mathcal{M}} R_{g_0}(\lambda - R_{g_0}). \tag{1}$$

Finally, integrating $\Delta_{g_0} f = n(\lambda - R_{g_0})$ over M and using $\frac{\partial f}{\partial \nu_{g_0}} = 0$ on ∂M , we obtain

$$0 = \int_{M} (\lambda - R_{g_0}) \tag{2}$$

Proof: We get

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Finally, integrating $\Delta_{g_0} f = n(\lambda - R_{g_0})$ over M and using $\frac{\partial f}{\partial \nu_{g_0}} = 0$ on ∂M , we obtain

$$0 = \int_{\mathcal{M}} (\lambda - R_{g_0}) \tag{2}$$

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Combining (1) and (2), we get

$$\int_{\mathcal{M}} (\lambda - R_{g_0})^2 = \int_{\mathcal{M}} R_{g_0}(\lambda - R_{g_0}) - \lambda \int_{\mathcal{M}} (\lambda - R_{g_0}) = 0,$$

which gives $R_{g_0} \equiv \lambda$.

Theorem (H.-Shin)

Suppose that (M, g_0) is a Yamabe soliton with boundary from equation point of view. If (M, g_0) is locally conformal flat and has positive sectional curvature,

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Suppose that (M, g_0) is a Yamabe soliton with boundary from equation point of view. If (M, g_0) is locally conformal flat and has positive sectional curvature, then

$$(M,g_0) = (\mathbb{R}, dr^2) \times_{w(r)} (\mathbb{S}^{n-1}_+, g_{\mathbb{S}^{n-1}_+}).$$

Suppose *M* is a 2-dimensional manifold with boundary ∂M .

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The (unnormalized) Gauss curvature flow with boundary is

$$rac{\partial}{\partial t}g(t)=-\mathcal{K}_{g(t)}g(t) ext{ in } M ext{ and } k_{g(t)}=0 ext{ on } M.$$

Here, $K_{g(t)}$ is the Gauss curvature, and $k_{g(t)}$ is the geodesic curvature.

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 in M and $k_{g(t)} = 0$ on M .

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The Gauss curvature flow with boundary is a two-dimensional version of the Yamabe flow with boundary.

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Suppose M is a 2-dimensional manifold with boundary ∂M . The (unnormalized) **geodesic curvarure flow** is

$$rac{\partial}{\partial t}g(t)=-k_{g(t)}g(t) ext{ on } \partial M ext{ and } K_{g(t)}=0 ext{ in } M.$$

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Suppose *M* is a 2-dimensional manifold with boundary ∂M . The (unnormalized) **geodesic curvarure flow** is

$$rac{\partial}{\partial t}g(t)=-k_{g(t)}g(t) ext{ on } \partial M ext{ and } K_{g(t)}=0 ext{ in } M.$$

The geodesic curvarure flow is a two-dimensional version of the conformal mean curvature flow.

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Also, we have defined the **geodesic curvaure soliton**, which is the self-similar solution of the geodesic curvature flow.

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(*i*) Any compact Gauss curvature soliton with boundary must have constant Gauss curvature in M.

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Theorem (H.-Shin)

(*i*) Any compact Gauss curvature soliton with boundary must have constant Gauss curvature in M.

(ii) Any compact geodesic curvature soliton must have constant geodesic curvature in M.

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Thank you very much for your attention!

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