

# On the composition of a prime transcendental function and a prime polynomial

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## Abstract

Let  $f, g$  be transcendental entire functions and  $p, q$  be non-linear polynomials with  $\deg p \neq 3, 6$ . Suppose that  $f$  and  $p$  are prime and  $f(p(z)) = g(q(z))$ , then  $f = g \circ L$  and  $p = L^{-1} \circ q$ , where  $L$  is a linear polynomial. Similar results for  $p(f(z)) = q(g(z))$  are also obtained.

## 1 Introduction and Main Results.

A meromorphic function  $F(z)$  is said to have a factorization with left factor  $f$  and right factor  $g$  provided

$$F(z) = f(g(z)), \tag{1}$$

where  $f$  is meromorphic and  $g$  is entire ( $g$  may be meromorphic when  $f$  is rational). A non-linear meromorphic function  $F(z)$  is called prime (pseudo - prime) if every factorization of form (1) implies that either  $f$  is bilinear or  $g$  is linear (either  $f$  is rational or  $g$  is a polynomial). Clearly, a prime function is an analogue of a prime number. Over the past thirty years, many classes of prime or pseudo-prime functions have been obtained (see [2]).

As an analogue of the unique factorizability of natural numbers, one can also define that concept for entire functions. Suppose an entire function  $F$  has two factorizations  $f_1 \circ f_2 \circ \cdots \circ f_m(z)$  and  $g_1 \circ g_2 \circ \cdots \circ g_n(z)$  into nonlinear entire factors. If  $m = n$  and if

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there exist linear polynomials  $L_j$  ( $j = 1, 2, 3, \dots, n-1$ ) such that the relations

$$f_1(z) = g_1 \circ L_1^{-1}, \quad f_2(z) = L_1 \circ g_2 \circ L_2^{-1}, \quad \dots, \quad f_n(z) = L_{n-1} \circ g_n(z) \quad (2)$$

hold simultaneously, then the two factorizations are called equivalent. If any two factorizations of  $F(z)$  into non-linear, prime entire factors are equivalent to each other, then  $F$  is called uniquely factorizable in entire sense.

As far as just polynomial factors are concerned, it is easy to exhibit functions which are not uniquely factorizable in entire sense, for instance,  $z^3 \circ z^2 = z^2 \circ z^3$ . Therefore, the following question is not without interest.

**Problem (A) :** Suppose  $f$  and  $g$  are prime entire functions and one of them is transcendental, will  $F(z) = f \circ g(z)$  be uniquely factorizable in entire sense?

**Counter-example.** Take  $f(z) = z^2, g(z) = ze^{z^2}, f_1(z) = ze^{2z}$  and  $g_1(z) = z^2$ . All of them are prime functions (see [2]) and  $f \circ g = f_1 \circ g_1$  are two non-equivalent factorizations of  $z^2e^{2z^2}$ .

In this paper, we shall consider the following problems. Let  $f$  and  $p$  be two prime entire functions where  $f$  is transcendental and  $p$  is a polynomial. Suppose that  $f \circ p = g \circ q$  or  $p \circ f = q \circ g$ . Under what conditions on the entire functions  $g, q$  will these factorizations be equivalent ?

From the above counter-example, it is clear that two factorizations of a function  $F = h \circ k = h_1 \circ k_1$  may not be equivalent. Therefore, we need to have some further assumptions on these factors  $h, h_1, k$  and  $k_1$ .

With this in mind, we have come up with the following results. The functions  $f, g, p$  and  $q$  considered below are all entire and non-linear.

**Theorem 1 .** *Let  $f, p$  be two non-periodic prime entire functions and  $p$  be a polynomial. Suppose that  $p \circ f = q \circ g$  and both  $f, g$  are transcendental. Then  $p = q \circ L^{-1}$  and  $f = L \circ g$ , where  $L$  is a linear polynomial.*

**Theorem 2 .** *Let  $f, p$  be two prime entire functions and  $f$  be transcendental. Suppose that  $p \circ f = q \circ g$  and both  $p, q$  are polynomials. Then  $p = q \circ L^{-1}$  and  $f = L \circ g$ , where  $L$  is a linear polynomial.*

**Theorem 3 .** *Let  $f, p$  be two prime entire functions and  $f$  be transcendental. Suppose that  $f \circ p = g \circ q$  and both  $p, q$  are polynomials with  $\deg p \neq 3, 6$ . Then  $f = g \circ L$  and  $p = L^{-1} \circ q$ , where  $L$  is a linear polynomial.*

Theorem 1, 2 and 3 due with the relationships between polynomials  $p$  and  $q$ , transcendental functions  $f$  and  $g$  when we have factorizations of the form  $p \circ f = q \circ g$  or  $f \circ p = g \circ q$ . It is natural to investigate the case  $f \circ p = q \circ g$ .

**Theorem 4** . *Let  $f$  and  $g$  be two transcendental entire functions,  $p$  and  $q$  be two non-linear polynomials with degree  $n$  and  $m$  respectively. If  $f \circ p = q \circ g$  and  $p$  is not a right factor of  $g$ , then  $\deg p \leq \deg q$ . In particular, the conclusion is true when  $g$  is prime.*

**Remark 1** . *Let  $f(z) = e^z$ ,  $g(z) = e^{\frac{z^3}{2}}$ ,  $p(z) = z^3$  and  $q(z) = z^2$ . Then  $f \circ p = q \circ g$  and  $\deg p > \deg q$ . Therefore, the condition that  $p$  is not a right factor of  $g$  is essential.*

**Definition 1** . *Let  $F(z)$  be an non-constant entire function. An entire function  $g(z)$  is a generalized right factor of  $F$  (denoted by  $g \leq F$ ) if there exists a function  $f$ , which is analytic on the image of  $g$ , such that  $F = f \circ g$ . If such  $f$  is entire,  $g$  will be a right factor of  $F$  (denoted by  $g|F$ ).*

**Definition 2** . *If  $h \leq f$  and  $h \leq g$ , we say that  $h$  is a generalized common right factor of  $f$  and  $g$ . If  $g \leq F$  and  $f \leq F$ , we say that  $F$  is a generalized common left multiple of  $f$  and  $g$ .*

The existence and uniqueness problems of the greatest generalized common right factor and the least generalized common left multiple for a given pair of entire functions were solved by A. Eremenko and L.A. Rubel as follows.

**Lemma 1** ([4]). *Any pair of non-constant entire functions has (up to a linear factor) a unique greatest generalized common right factor  $h$ , greatest in the sense that any generalized common right factor of  $f$  and  $g$  is a generalized right factor of  $h$ .*

**Lemma 2** ([4]). *Suppose that  $f$  and  $g$  have a generalized common left multiple. Then  $f$  and  $g$  have (up to a linear factor) a unique least generalized common left multiple  $F$ , least in the sense that  $F$  is a generalized right factor of any generalized common left multiple of  $f$  and  $g$ .*

The proof of Theorem 1 is mainly based on the following lemma.

**Lemma 3** ([9]). *Let  $f$  and  $g$  be two entire functions. Suppose that there exist two non-constant complex functions  $k$  and  $R$  such that  $F = R \circ f = k \circ g$  is meromorphic. If  $g$  is transcendental and  $R$  is rational, then there exists a transcendental entire function  $h$  satisfying  $h \leq f$  and  $h \leq g$ .*

*Proof of Theorem 1.* By Lemma 3, there exists a transcendental entire function  $h$  satisfying  $h \leq f$  and  $h \leq g$ . Hence,  $f = h_1 \circ h$  and  $g = h_2 \circ h$ , where  $h_1, h_2$  are analytic on the image of  $h$ . If the image of  $h$  is  $\mathbf{C} - \{a\}$ , then  $h = a + e^k$  for some entire function  $k$ . Without loss of generality, we may assume  $a = 0$  so that  $f(z) = h_1(e^w) \circ k(z)$ . The primeness of  $f$  will force  $k$  to be linear. This contradicts the assumption that  $f$  is not a periodic function. So the image of  $h$  must be the whole plane. This implies that both  $h_1, h_2$  are entire and  $p \circ h_1 = q \circ h_2$  on  $\mathbf{C}$ . Since  $f = h_1 \circ h$  is prime,  $h_1$  must be linear. From  $p \circ h_1 = q \circ h_2$ ,  $h_2$  must also be linear as  $p$  is prime. Take  $L = h_1 \circ h_2^{-1}$  and we are done.

The proof of Theorem 2 is similar, we simply apply Lemma 4 below instead of Lemma 3.

**Lemma 4** ([6]). *Let  $f$  and  $g$  be two entire functions. Suppose that there exist two non-constant polynomials  $p$  and  $q$  such that  $p \circ f(z) = q \circ g(z)$ . Then there exist an entire function  $h$  and rational functions  $U(z)$  and  $V(z)$  such that*

$$f(z) = U \circ h(z), \quad g(z) = V \circ h(z).$$

To prove Theorem 4, we need the following lemma which can be used to prove Lemma 3.

**Lemma 5** ([9]). *Let  $f$  and  $g$  be two entire functions. Suppose that there exist two non-constant functions  $h_1$  and  $h_2$  so that  $F = h_1(f(z)) = h_2(g(z))$  and  $F$  is meromorphic. Suppose further that there exist  $k \geq 2$  distinct points  $z_1, \dots, z_k$  such that  $F'(z_i) \neq 0, \infty$  for all  $i$  and*

$$\begin{cases} f(z_1) = f(z_2) = \dots = f(z_k) \\ g(z_1) = g(z_2) = \dots = g(z_k). \end{cases}$$

*Then, there exists an entire function  $h(z)$  (independent of  $k$  and  $z'_i$ s) with  $h \leq f$ ,  $h \leq g$  and  $h(z_1) = h(z_i)$  for all  $2 \leq i \leq k$ .*

*Proof of Theorem 4.* By Lemma 1, there exists a generalized greatest common right factor  $k$  of  $p$  and  $g$ . Since,  $p$  is a polynomial,  $k$  is actually the greatest common right factor of  $p$  and  $g$ . Let  $p_1$  and  $g_1$  be entire functions such that  $p = p_1 \circ k$  and  $g = g_1 \circ k$ . Hence,  $f \circ p_1 = q \circ g_1$  on  $\mathbf{C}$  and  $p_1, g_1$  do not have any non-linear common right factor.  $p_1$  is non-linear as  $p$  is not a right factor of  $g$ . If we can show that  $\deg p_1 \leq \deg q_1$ ,

then  $\deg p \leq \deg q$ . Therefore, we may assume that  $p$  and  $g$  do not have any non-linear common right factor. Suppose that  $n > m$ . Define  $E = \{p(z) | F'(z) = 0\}$ , where  $F = f \circ p$ . Then  $E$  is a countable set. Therefore, we can choose  $A \in \mathbf{C} - E$  so that the equation  $p(z) = A$  has  $n \geq 2$  distinct roots  $z_1, \dots, z_n$ . Since  $f(A) = f(p(z_i)) = q(g(z_i))$ ,  $g(z_i)$  are roots of the equation  $q(z) = f(A)$  which has at most  $m$  roots.  $n > m$  implies that there exist two distinct roots  $z_i, z_j$  such that  $g(z_i) = g(z_j)$ . Note that  $p(z_i) = p(z_j) = A$  and  $F'(z_i), F'(z_j) \neq 0$ . By Lemma 5, there exists an entire function  $h$  with  $h \leq p$ ,  $h \leq g$  and  $h(z_i) = h(z_j)$ . Clearly  $h$  is a polynomial. Hence, there exists a non-linear  $h$  such that  $h|p$  and  $h|g$ . This is impossible and we must have  $n \leq m$ .

In Theorem 3, we only assume that  $p$  and  $q$  are polynomials. If we further restrict  $p$  and  $q$  to have  $\deg p = \deg q \geq 3$ , then the conclusion of Theorem 3 can be drawn directly from the following lemma.

**Lemma 6** ([5]). *Let  $p$  and  $q$  be two polynomials with the same degree. Suppose there exist entire functions  $f$  and  $g$  such that  $f \circ p = g \circ q$ . Then one of the following two cases holds:*

- a)  $p(z) = L \circ q(z)$  where  $L$  is a linear polynomial.
- b)  $p(z) = (r(z))^2 + a$  and  $q = b(r(z) + c)^2 + d$ , where  $a, b, c, d$  are complex numbers.

The above type of results were first investigated by I.N. Baker and F. Gross in [1] and then L.Flatto in [5]. Finally, S.A.Lysenko in [8] gives an algebraic necessary and sufficient condition for the existence of meromorphic  $f$  and  $g$  satisfy  $f \circ p = g \circ q$ .

The proof of Theorem 3 is based on a method developed by S.A. Lysenko in [8] which depends on a fundamental result of local holomorphic dynamics.

## 2 Local holomorphic dynamics.

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## 3 Local holomorphic dynamics.

Let  $X$  be a Riemann surface and let  $f : (X, a) \rightarrow (X, a)$  denote a mapping defined in some neighbourhood of a point  $a$  on  $X$  with  $f(a) = a$ . A germ of a mapping  $f : (X, a) \rightarrow (X, a)$  is defined to be the equivalent class of all mappings which coincide with  $f$  in some neighbourhood of  $a$  and it is denoted by  $[f]$ . We say that  $f$  is conformal at  $a$  if  $f$  is

analytic in some neighbourhood of  $a$  and  $f'(a) \neq 0$ . In this case  $f$  will have an inverse  $f^{-1}$  in a neighbourhood of  $a$ . Let  $\Gamma(X, a)$  be the set of all germs of conformal mapping  $(X, a) \rightarrow (X, a)$ . We define  $[f] \circ [g]$  by  $[f \circ g]$ . Note that if  $[f] = [f_1]$ , then  $f \equiv f_1$  on any region for which both  $f$  and  $f_1$  are analytic. Hence, the binary operation  $\circ$  is well-defined. Clearly, the inverse of  $[f]$  under  $\circ$  is  $[f^{-1}]$ . Therefore,  $(\Gamma(X, a), \circ)$  is a group. Note that two germs in  $(\Gamma(X, a), \circ)$  are the same if they have the same Talyor series expansions about  $a$ . Therefore, from time to time, we shall simply denote the germ  $[f]$  by its Talyor series.

For example, elements of  $\Gamma(\mathbf{CP}^1, \infty)$  are of the form  $a_1z + a_0 + \frac{a_{-1}}{z} + \frac{a_{-2}}{z^2} + \dots$  with  $a_1 \neq 0$ . While elements of  $\Gamma(\mathbf{C}, 0)$  are of the form  $a_1z + a_2z^2 + a_3z^3 + \dots$  with  $a_1 \neq 0$ .

We simply denote  $\Gamma(\mathbf{CP}^1, \infty)$  by  $\Gamma$ .

**Definition 3** . Let  $p$  be a non-constant polynomial. Since  $p^{-1}(\{\infty\}) = \{\infty\}$ , we can define a group  $T_p = \{g \in \Gamma \mid p \circ g = p\}$ . Then, it can be shown that  $T_p$  is a cyclic subgroup of  $\Gamma$  and its order equals to  $\deg p$ .

**Example 1** .  $T_{z^n} = \{ \lambda z \mid \lambda^n = 1 \}$  and  $T_{(z+1)^m} = \{ \delta z + \delta - 1 \mid \delta^m = 1 \}$

$T_p$  is so-called a discrete invariant subgroup of  $\Gamma$ . In fact, we have the following definition.

**Definition 4** . A subgroup  $G$  of  $\Gamma$  is discrete invariant if there exists a non-constant function  $F$ , meromorphic in a punctured neighbourhood of infinity in  $\mathbf{C}$ , such that  $F(g(z)) = F(z)$  for all  $g \in G$ .

In [10], A.A. Shcherbakov proved that if  $G \subset \Gamma$  is discrete invariant, then  $G$  is a solvable group.

We also need another important necessary condition for  $G \subset \Gamma$  to be discrete. Define  $\Gamma_1 = \{g \in \Gamma \mid g = z + a_0 + \frac{a_{-1}}{z} + \frac{a_{-2}}{z^2} + \dots\}$  and  $\Gamma_0 = \{g \in \Gamma \mid g = z + \frac{a_{-1}}{z} + \frac{a_{-2}}{z^2} + \dots\}$ . Clearly,  $\Gamma_1/\Gamma_0$  is isomorphic to  $(\mathbf{C}, +)$ .

**Lemma 7** ([8]). Let  $G \subset \Gamma$ ,  $G_1 = G \cap \Gamma_1$  and  $G_0 = G \cap \Gamma_0$ . If  $G$  is discrete invariant, then  $G_1/G_0$  is isomorphic to a discrete subgroup of  $(\mathbf{C}, +)$ .

**Example 2** . Let  $f, g$  be non-constant meromorphic functions and  $p, q$  be non-constant polynomials. Suppose that  $F(z) = f(p(z)) = g(q(z))$ , then the group generated by  $T_p$  and

$T_q$ , denoted by  $[T_p, T_q]$ , is a discrete invariant subgroup of  $\Gamma$ . Hence,  $[T_p, T_q]$  is solvable. If we take  $p(z) = z^n$ ,  $q(z) = (z+1)^m$  and  $G = [T_{z^n}, T_{(z+1)^m}]$ , then  $G_1 \subset \{T_b(z) = z+b \mid b \in \mathbf{C}\}$  and  $G_0 = \{z\}$ . Now  $G_1 \cong G_1/G_0$  which is isomorphic to a discrete subgroup of  $(\mathbf{C}, +)$ .

$T_p$  and  $[T_p, T_q]$  are the main objects we shall study. The following two lemmas which were proved by using Galois Theory will be needed in the proof of Theorem 3.

**Lemma 8** ([8]). *Let  $p$  and  $q$  be two non-constant polynomials. Define  $H_{p,q} = \{ \sigma \in T_p \mid \rho\sigma = \sigma\rho \text{ for all } \rho \in T_q \}$ . Then  $H_{p,q} = T_{p_1}$ , where  $p_1$  is a right factor of  $p$ .*

**Lemma 9** ([8]). *If  $[T_p, T_q]$  is finite, then there exist two non-constant rational functions  $R_1, R_2$  such that  $R_1 \circ p(z) = R_2 \circ q(z)$ .*

If  $[T_p, T_q]$  is infinite, then  $[T_p, T_q]$  must be non-abelian as both  $T_p$  and  $T_q$  are cyclic and finite. Moreover, if  $[T_p, T_q]$  is also solvable, then we can construct some groups that are isomorphic to  $[T_p, T_q]$ . These groups come from local holomorphic dynamics and are easier to deal with.

**Definition 5** . *Let  $w$  be a holomorphic vector field on  $V \subset \mathbf{C}$ . Associated with  $w$ , it is well known that there exists a unique local phase flow  $g_w : U \times V \rightarrow \mathbf{C}$  which is a solution of the Cauchy problem*

$$\frac{d}{dt}g_w(t, z) = w(g_w(t, z)), \quad g_w(0, z) = z, \quad (3)$$

where  $U \subset \mathfrak{R}$  is a sufficiently small neighbourhood of 0. For brevity, we denote  $g_w(t, z)$  by  $g_w^t(z)$  the time- $t$  transformation for the flow of the holomorphic vector field  $w$ . Moreover, we have the following important property:

$$g_w^{t+s}(z) = g_w^t(g_w^s(z)), \quad (4)$$

in the sense that if one side of (4) is defined, so is the other, and they are equal. If we extend the definition of  $g_w^t(z)$  for all  $t \in \mathbf{C}$ , then  $g_w^t(z)$  (possibly divergent) will be a formal solution of equation (3), which will be denoted as  $\widehat{g_w^t}(z)$ .

**Definition 6** . *If  $f : V \rightarrow W$  is a bijective conformal mapping, then the forward image  $f_*w$  of the vector field  $w$  on  $V$  is defined as*

$$(f_*w)(z) = f'(f^{-1}(z)) \times w(f^{-1}(z)),$$

for all  $z \in W$ .

Let  $k$  be a natural number. We denote by  $g_{z^{k+1}}^t$  the time- $t$  transformation for the flow of the holomorphic vector field  $z^{k+1}\frac{\partial}{\partial z}$ . Express  $g_{z^{k+1}}^t$  as  $a_0(t) + a_1(t)z + a_2(t)z^2 + \dots$  and substitute it into equation (3). Comparing the coefficient of the constant term, we have  $a_0'(t) = a_0^{k+1}(t)$ ,  $a_0(0) = 0$ . Hence,  $a_0(t) \equiv 0$  on some neighbourhood of zero. By repeating this process, it is easy to check that  $g_{z^{k+1}}^t(z) = z + tz^{k+1} + \dots$ . Therefore, for each sufficiently small real  $t$ ,  $g_{z^{k+1}}^t(z)$  is conformal in some neighbourhood of zero with  $g_{z^{k+1}}^t(0) = 0$ . Note that for complex number  $|t| < 1$ , we have  $g_{z^2}^t(z) = z + tz^2 + t^2z^3 + t^3z^4 + \dots$  is conformal in some neighbourhood of zero.

Now, we consider the set of germs

$$G(k) = \{\lambda g_{z^{k+1}}^t : (\mathbf{C}, 0) \rightarrow (\mathbf{C}, 0) \mid \lambda \in \mathbf{C}^* = \mathbf{C} - \{0\}, t \in \mathbf{C}\}.$$

We shall show that  $G(k)$  under composition is a group. For brevity, denote  $\lambda g_{z^{k+1}}^t$  by  $(\lambda, t)$ . For any  $\mu \in \mathbf{C}^*$ , let  $\mu(z) = \mu z$ , it is easy to check that  $\mu^{-1} \circ g_{\mu^* w}^t \circ \mu$  satisfies condition (3) and hence  $g_{\mu^* w}^t \circ \mu = \mu \circ g_w^t$ . Similarly, we have  $g_{z^{k+1}}^t = g_{\mu^* z^{k+1}}^{\mu^{-k}t}$ . Now,

$$g_{z^{k+1}}^t \circ \mu = g_{\mu^* z^{k+1}}^{\mu^{-k}t} \circ \mu = \mu \circ g_{z^{k+1}}^{\mu^{-k}t}. \quad (5)$$

(4) and (5) imply that  $G(k)$  is a group under composition. From (4) and (5), the multiplication table for  $G(k)$  has the following form:

$$(\lambda, t) \times (\mu, s) = (\lambda\mu, t\mu^{-k} + s).$$

With the above formula, it is easy to prove that the subgroup  $C(k) = \{\lambda z = \lambda g_{z^{k+1}}^0 \in G(k) \mid \lambda^k = 1\}$  is the center of  $G(k)$  (i.e. set of element commutes with all elements of  $G(k)$ ).

**Definition 7** . Let  $G$  and  $G_1$  be two groups of germs of conformal mappings  $(\mathbf{C}, 0) \rightarrow (\mathbf{C}, 0)$ .  $G$  and  $G_1$  is said to be formally equivalent if there exists an isomorphism  $K : G \rightarrow G_1$  and a formal series  $\widehat{h}$  whose constant term is zero and the linear term is non-zero, such that for any  $f \in G$ ,

$$\widehat{h}^{-1} \circ f \circ \widehat{h} = \widehat{K}f.$$

The hat over a symbol stands for the corresponding formal series.

Now, we can state the main lemma as follows.



**Lemma 10** ([3]). *A finitely generated non-Abelian solvable group of all germs of conformal mapping  $(\mathbf{C}, 0) \rightarrow (\mathbf{C}, 0)$  is formally equivalent to a finitely generated subgroup of  $G(k)$  for some  $k$ .*

**Remark 2** . *Let  $J(z) = 1/z$  and  $G$  be a subgroup of  $\Gamma(\mathbf{CP}^1, \infty)$ . Then  $J^{-1}GJ = \{J^{-1} \circ g \circ J | g \in G\}$  is a subgroup of  $\Gamma(\mathbf{C}, 0)$ . Clearly  $G$  and  $J^{-1}GJ$  are isomorphic and from now on, we shall identify  $G$  with  $J^{-1}GJ$  frequently. For example,  $T_{z^n}$  is identified with  $J^{-1}T_{z^n}J = \{ \lambda z \mid \lambda^n = 1 \} = \{ \lambda g_{z^2}^0 \mid \lambda^n = 1 \}$  and  $T_{(z+1)^m}$  is identified with  $J^{-1}T_{(z+1)^m}J = \{ \delta z + \delta(\delta - 1)z^2 + \delta(\delta - 1)^2z^3 + \delta(\delta - 1)^3z^4 + \dots \mid \delta^m = 1 \} = \{ \delta g_{z^2}^{\delta-1} \mid \delta^m = 1 \}$ .*

## 4 Proof of Theorem 3.

Let  $F(z) = f(p(z)) = g(q(z))$ . From Example 2, we know that  $[T_p, T_q]$  is solvable. We shall consider two cases: i)  $[T_p, T_q]$  is finite and ii)  $[T_p, T_q]$  is infinite.

Suppose that  $[T_p, T_q]$  is finite, then by Lemma 9, there exist two non-constant rational functions  $R_1, R_2$  such that  $R_1 \circ p(z) = R_2 \circ q(z)$ . Express  $R_i$  as  $\frac{P_i}{Q_i}$ , where  $P_i$  and  $Q_i$  are polynomials and do not have any common zero. Without loss of generality, we may assume that  $P_1$  is non-constant. Since  $P_i$  and  $Q_i$  do not have any common zero, we have  $F_1 = P_1(p(z)) = AP_2(q(z))$  for some non-zero constant  $A$ . By Lemma 2, there exists a non-constant entire function  $F_2$ , which is the least generalized common left multiple of  $p$  and  $q$ , such that  $F_2 \leq F_1$  and  $F_2 \leq F$ . From  $F_2 \leq F_1$ , it follows that  $F_2$  is a polynomial and hence  $F_2 | F_1$  and  $F_2 | F$ . Now, we can let  $F_2 = h \circ p = k \circ q$  for some polynomials  $h, k$ . Note that  $F_2 | F$  which implies  $h | f$ . Since  $f$  is prime and transcendental,  $h$  must be linear. Therefore,  $p = h^{-1} \circ k \circ q$ , where  $h^{-1} \circ k$  is linear because  $p$  is prime and  $q$  is non-linear. So, we are done for case i).

If  $[T_p, T_q]$  is infinite, then it is non-abelian as both  $T_p, T_q$  are finite order cyclic groups. Since  $[T_p, T_q]$  is also solvable, it follows from Lemma 10 that  $[T_p, T_q]$  is formally equivalent to a subgroup of  $G(k)$  for some natural number  $k$ . Let  $d = \text{lcm}(n, m)$  where  $n = \text{deg } p$  and  $m = \text{deg } q$ . Let  $\lambda g_{z^{k+1}}^t$  and  $\mu g_{z^{k+1}}^s$  be the generators of  $T_p$  and  $T_q$  respectively. From the multiplication table of  $G(k)$ ,  $\lambda^n = 1$  and  $\mu^m = 1$ . Hence, all elements of  $[T_p, T_q]$  are in  $G_d(k) = \{ \lambda g_{z^{k+1}}^t \in G(k) | \lambda^d = 1 \}$ . Therefore,  $[T_p, T_q]$  is actually formally equivalent to

a subgroup of  $G_d(k)$ .

By Lemma 8 and the fact that  $p$  is prime,  $H_{p,q} = T_p$  or  $T_{id}$ . If  $H_{p,q} = T_p$ , then  $[T_p, T_q]$  must be abelian which is impossible. So, we have  $H_{p,q} = T_{id} = \{z\}$ . It is easy to check that if  $h \in G_k(k)$  is an element of finite order, then  $h \in C(k)$ . Hence,  $T_p \cap G_k(k) \subset C(k)$ . Note that  $C(k)$  is the center of  $G(k)$  and so  $T_p \cap G_k(k) \subset H_{p,q} = \{z\}$ . Now, we claim that  $g = \gcd(n, k) = 1$ . Let  $(\lambda, t)$  be a generator of  $T_p$ . Then, it is very easy to check that  $(\lambda, t)^{\frac{n}{g}}$  is an element of  $T_p \cap G_k(k)$ . Therefore,  $(\lambda, t)^{\frac{n}{g}} = (1, 0)$  and hence  $\frac{n}{g} = n$ . We get  $g = \gcd(n, k) = 1$ .

We first consider the case that  $q$  is prime. Then, we also have  $\gcd(m, k) = 1$ . So, if  $d = \text{lcm}(n, m)$ , then  $\gcd(d, k) = 1$ . We define a map  $f : G_d(k) \rightarrow G_d(1)$  by  $f(\lambda g_{z^2}^t) = \lambda^k g_{z^2}^t$ . Clearly,  $f$  is a group homomorphism and surjective. The condition that  $\gcd(d, k) = 1$  implies that  $f$  is also injective. Therefore  $[T_p, T_q]$  is isomorphic to a subgroup of  $G_d(1)$ .

Let  $\lambda g_{z^2}^t$  and  $\delta g_{z^2}^s$  be the elements of  $G_d(1)$  corresponding to generators of  $T_p$  and  $T_q$  respectively. Note that

$$(1, 0) = id = \lambda g_{z^2}^t \circ \lambda g_{z^2}^t \cdots \circ \lambda g_{z^2}^t (n \text{ times}) = (\lambda^n, t(\lambda^{-(n-1)} + \cdots + \lambda^{-1} + 1))$$

So,  $\lambda$  (respectively  $\delta$ ) is a primitive  $n$ th root of unity (respectively a primitive  $m$ th root of unity).

By choosing a suitable number  $r$ , we have  $(1, r) \times (\lambda, t) \times (1, -r) = (\lambda, 0)$ . Therefore, with this conjugation, we may assume  $t = 0$  and this implies that  $s \neq 0$ , for otherwise  $[T_p, T_q]$  will be abelian. By using the automorphism  $\lambda g_{z^2}^t \rightarrow \lambda g_{z^2}^{ct}$  ( $c \neq 0$ ) of  $G_d(1)$ , we may also assume that  $s = \delta - 1$ . Hence the generators are of the form  $\lambda g_{z^2}^0$  and  $\delta g_{z^2}^{\delta-1}$ . From Remark 2, we know that they generate  $T_{z^n}$  and  $T_{(z+1)^m}$  respectively. Therefore  $[T_p, T_q]$  is isomorphic to  $G = [T_{z^n}, T_{(z+1)^m}]$ . From Example 2,  $G_1 \cong (G_1/G_0) \cong ([T_p, T_q] \cap \Gamma_1)/[T_p, T_q] \cap \Gamma_0$  which is isomorphic to a discrete subgroup of  $(\mathbf{C}, +)$  by Lemma 7.

Suppose  $T_b \in G_1$ , then  $T_{\delta b}$  is also in  $G_1$ . It is because  $z + \delta b = (\delta z + \delta - 1) \circ (z + b) \circ (\delta^{-1}z + \delta^{-1} - 1)$ . Similarly,  $T_{\lambda b} \in G_1$  and hence  $T_{\epsilon b} \in G_1$ , where  $\epsilon$  is a  $d$ th root of unity with  $d = \text{lcm}(n, m)$ . Since  $G_1$  is isomorphic to a non-trivial discrete subgroup of  $(\mathbf{C}, +)$ , it is easy to show that either  $G_1 = \{T_{na} \mid n \in \mathbf{Z}\}$  or  $G_1 = \{T_{nb+mc} \mid n, m \in \mathbf{Z}\}$  for some

$a, b, c \in \mathbf{C}$  and  $b/c$  being irrational (see [12], p.63). We consider the first case:  $T_a \in G_1$ , which implies  $T_{e^{2a+a}} \in G_1$ . Hence,  $T_{2a \cos \frac{2\pi}{d}} = T_{e^{-1} \times (e^{2a+a})} \in G_1$ . Thus,  $2 \cos \frac{2\pi}{d}$  is some integer which can only be  $0, \pm 1$  or  $\pm 2$ . So, it follows that  $d \in \{2, 3, 4, 6\}$ . With similar argument, we can have the same conclusion for the second case.

If  $n = m = 3, 4, 6$ , then it follows from Lemma 6 that  $p = L \circ q$ , where  $L$  is linear. Hence,  $[T_p, T_q] = T_p$  is finite, which is a contradiction.

If  $n = m = 2$ , without loss of generality we may assume that  $p(z) = z^2$  and  $q(z) = (z + c)^2$ . Then we have  $F_1 = \cos \sqrt{z} \circ p = \cos(\sqrt{z} - c) \circ q$ . By Lemma 2, there exists a non-constant entire function  $F_2$ , which is the least generalized common left multiple of  $p$  and  $q$ , such that  $F_2 \leq F_1$  and  $F_2 \leq F$ . Let  $F_2 = h \circ p = k \circ q$ , it follows that  $h \leq f$  and  $h \leq \cos \sqrt{z}$ . Thus  $h$  is not periodic. By similar argument used in the proof of Theorem 1, we have  $h|f$ . Since  $f$  is prime,  $h$  is linear or  $h = L \circ f$  for some linear function  $L$ .  $h$  is linear implies  $p = h^{-1} \circ k \circ q$  which is impossible again. Therefore,  $h = L \circ f$ . Hence,  $\cos \sqrt{z}$  has a prime transcendental right factor  $f$ . Write  $\cos \sqrt{z}$  as  $h_1 \circ f$ . Thus  $\cos z = h_1 \circ f(z^2)$ . From Theorem 3.10 in [2],  $f(z^2) = \cos \frac{z}{n}$  which implies  $f(z) = \cos \frac{\sqrt{z}}{n}$ . This is impossible as  $\cos \frac{\sqrt{z}}{n}$  is not a prime function.

Now, we can assume that  $n \neq m$  and hence  $d \neq 2, 3$ .  $d = 4$  implies that one of  $n, m$  equals to 2. We may assume without loss of generality that  $n = 2$  and  $p = z^2$ ,  $q(z) = z^4 + a_3 z^3 + a_2 z^2 + a_1 z$ . Since  $f(p(z)) = f(p(-z))$ ,  $g(q(z)) = g(q(-z))$ , and because  $q$  is prime, Lemma 6 implies that  $q(z) = L \circ q(-z)$ . Note that  $L$  is linear, then  $a_3 = a_1 = 0$  and hence  $q$  is not prime which is impossible. If  $d = 6$ ,  $n$  can only be 2, 3 or 6. The case for  $n = 2$  can be treated similar as above and the case  $n = 3, 6$  are excluded from our considerations.

For general  $q$ , we can express  $q$  as  $q_2 \circ q_1$  where  $q_1$  is prime. From the above discussion, we have  $f = g \circ q_2 \circ L^{-1}$  and  $p = L \circ q_1$ . Thus,  $f$  is prime implies that  $q_2$  is linear and we are done.

## 5 Further discussions.

In Theorem 3, we assume that both the right factors  $p, q$  have polynomial growth. We can also restrict the left factors  $f, g$  to have comparable growth rate and ask the following question.

**Problem (B) :** Let  $f$  and  $p$  be two prime entire functions and  $p$  is a polynomial. Suppose that  $F = f \circ p = g \circ q$  and both  $f, g$  are transcendental. Are the two factorizations of  $F$  equivalent ?

This problem is closely related to problem C below (proposed by C.C. Yang, see e.g. [7], p.124), which remains unsolved for more than a decade.

**Problem (C) :** Let  $f$  be a pseudo-prime transcendental meromorphic function and  $p$  be a polynomial of degree  $\geq 2$ . Must  $f(p(z))$  be pseudo-prime ?

If the answer to problem C is positive, then the function  $q$  in problem B must be a polynomial and this reduces to the case handled in Theorem 3. One may try to solve problem C for the special case that  $p(z) = z^n$ , where  $n$  is a prime number.

Similarly, we can ask:

**Problem (D) :** Let  $f$  be a pseudo-prime transcendental meromorphic function and  $p$  a polynomial of degree  $\geq 3$ , which has no quadratic right factor. Must  $p(f(z))$  be pseudo-prime ?

In [11], G.D. Song and J. Huang proposed the above problem and solved it for the case that  $p(z) = z^n$  with  $n$  being an odd number. We proved in [9] that it is true if  $f$  is not of the form  $H \circ q$ , where  $H$  is an entire periodic function and  $q$  is a polynomial. One may try to solve problem D for  $\deg p$  is odd first.

Finally, we ask whether the answer of problem A is yes if both  $f$  and  $g$  are assumed to be transcendental ?

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